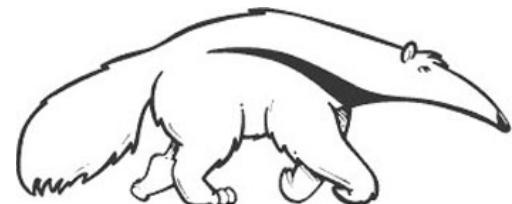


# Heuristic search, A\*

CS171, Winter 2018

Introduction to Artificial Intelligence

Prof. Richard Lathrop



Reading: R&N 3.5-3.7

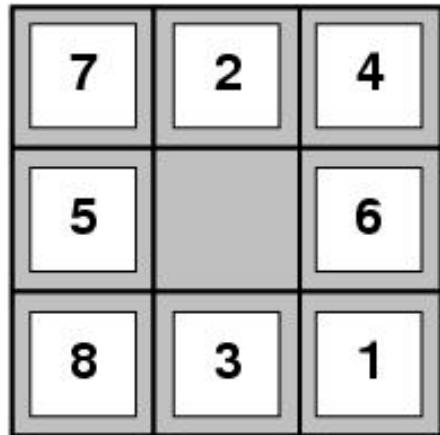
# Outline

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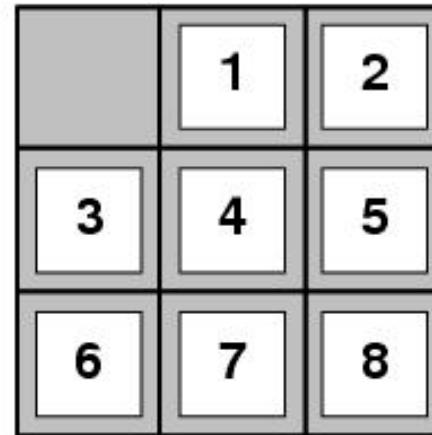
- Review limitations of uninformed search methods
- **Informed (or heuristic) search**
- **Problem-specific heuristics to improve efficiency**
  - Best-first, A\* (and if needed for memory limits, RBFS, SMA\*)
  - Techniques for generating heuristics
  - A\* is optimal with admissible (tree)/consistent (graph) heuristics
  - A\* is quick and easy to code, and often works **\*very\*** well
- **Heuristics**
  - A structured way to add “smarts” to your solution
  - Provide **\*significant\*** speed-ups in practice
  - Still have worst-case exponential time complexity

In AI, “NP-Complete” means “Formally interesting”

# Limitations of uninformed search



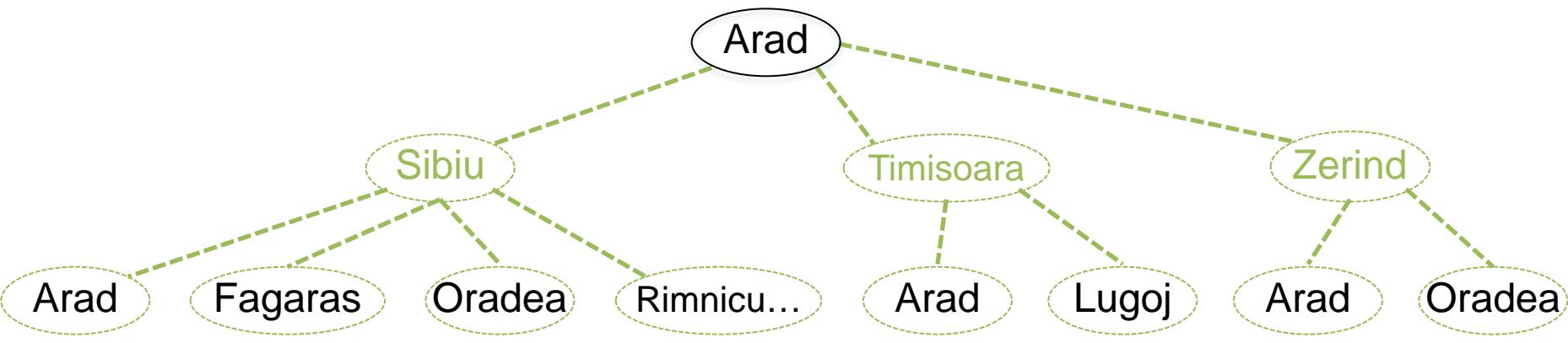
Start State



Goal State

- Search space size makes search tedious
  - Combinatorial explosion
- Ex: 8-Puzzle
  - Average solution cost is  $\sim 22$  steps
  - Branching factor  $\sim 3$
  - Exhaustive search to depth 22:  $3.1 \times 10^{10}$  states
  - 24-Puzzle:  $10^{24}$  states (much worse!)

# Recall: tree search



```
function TREE-SEARCH (problem, strategy) : returns a solution or failure  
    initialize the search tree using the initial state of problem  
    while (true):
```

if no candidates for expansion: return failure

choose a leaf node for expansion according to *strategy*

if the node contains a goal state: return the corresponding solution

else: expand the node and add the resulting nodes to the search tree

This “strategy” is what differentiates different search algorithms

# Heuristic function

- Idea: use a heuristic function  $h(n)$  for each node
  - $g(n)$  = known path cost so far to node  $n$
  - $h(n)$  = *estimate* of (optimal) cost to goal from node  $n$
  - $f(n) = g(n)+h(n)$  = *estimate* of total cost to goal through  $n$
  - $f(n)$  provides an estimate for the total cost
- “Best first” search implementation
  - Order the nodes in frontier by an evaluation function
  - Greedy Best-First: order by  $h(n)$
  - A\* search: order by  $f(n)$
- Search efficiency depends on heuristic quality!
  - The better your heuristic, the faster your search!

# Heuristic function

- Heuristic
  - Definition: a commonsense rule or rules intended to increase the probability of solving some problem
  - Same linguistic root as “Eureka” = “I have found it”
  - Using rules of thumb to find answers
- Heuristic function  $h(n)$ 
  - Estimate of (optimal) remaining cost from  $n$  to goal
  - Defined using only the *state* of node  $n$
  - $h(n) = 0$  if  $n$  is a goal node
  - Example: straight line distance from  $n$  to Bucharest
    - Not true state space distance, just estimate! Actual distance can be higher
- Provides problem-specific knowledge to the search algorithm

# Example: 8-Puzzle

- 8-Puzzle
  - Avg solution cost is about 22 steps
  - Branching factor  $\sim 3$
  - Exhaustive search to depth  $22 = 3.1 \times 10^{10}$  states
  - A good heuristic function can reduce the search process
- Two commonly used heuristics
  - $h_1$ : the number of misplaced tiles  
$$h_1(s) = 8$$
  - $h_2$ : sum of the distances of the tiles from their goal  
$$h_2(s) = 3+1+2+2+2+3+3+2 \text{ ("Manhattan distance")}$$
$$= 18$$

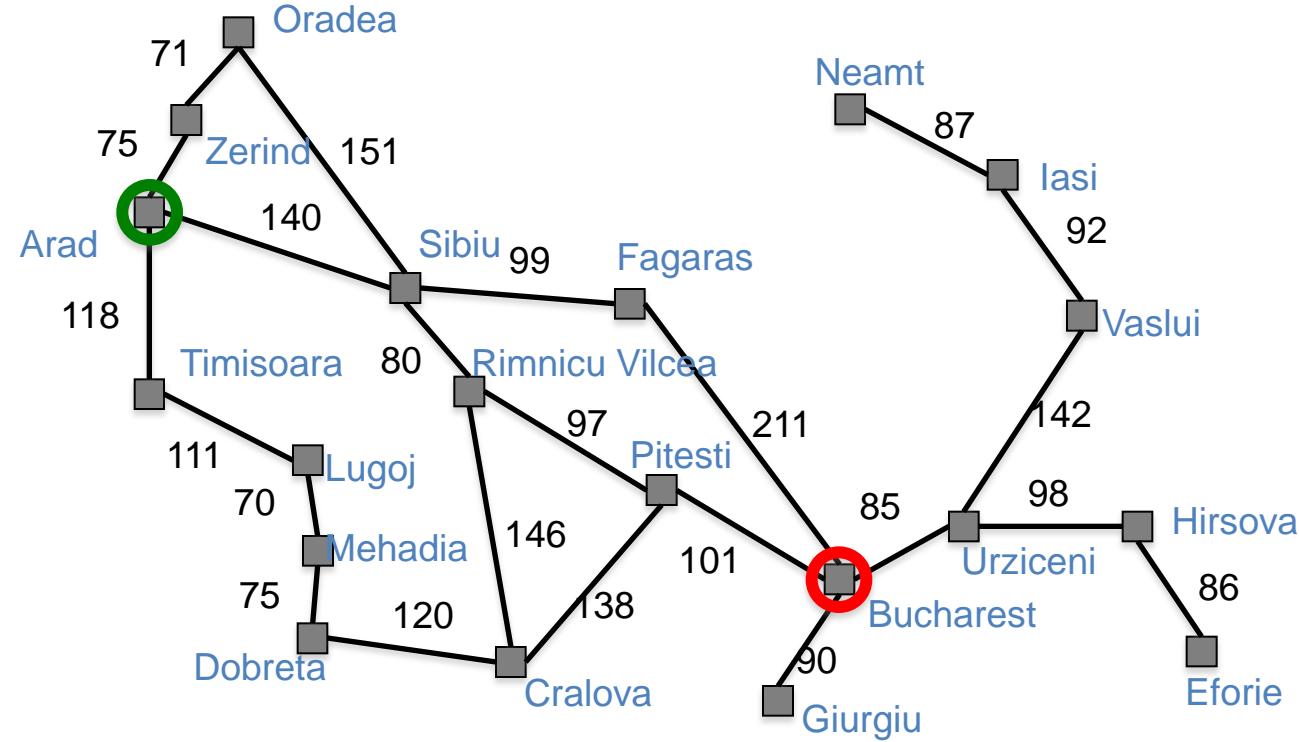
7	2	4
5		6
8	3	1

Start State

	1	2
3	4	5
6	7	8

Goal State

# Example: Romania, straight-line distance



Straight-line dist to goal	
Arad	366
Bucharest	0
Craiova	160
Drobeta	242
Eforie	161
Fagaras	176
Giurgiu	77
Hirsova	151
Iasi	226
Lugoj	244
Mehadia	241
Neamt	234
Oradea	380
Pitesti	100
Rimnicu Vilcea	193
Sibiu	253
Timisoara	329
Urziceni	80
Vaslui	199
Zerind	374

# Relationship of search algorithms

- Notation
  - $g(n)$  = known cost so far to reach  $n$
  - $h(n)$  = estimated (optimal) cost from  $n$  to goal
  - $f(n) = g(n)+h(n)$  = estimated (optimal) total cost through  $n$
- Uniform cost search: sort frontier by  $g(n)$
- Greedy best-first search: sort frontier by  $h(n)$
- A\* search: sort frontier by  $f(n)$ 
  - Optimal for admissible / consistent heuristics
  - Generally the preferred heuristic search framework
  - Memory-efficient versions of A\* are available: RBFS, SMA\*

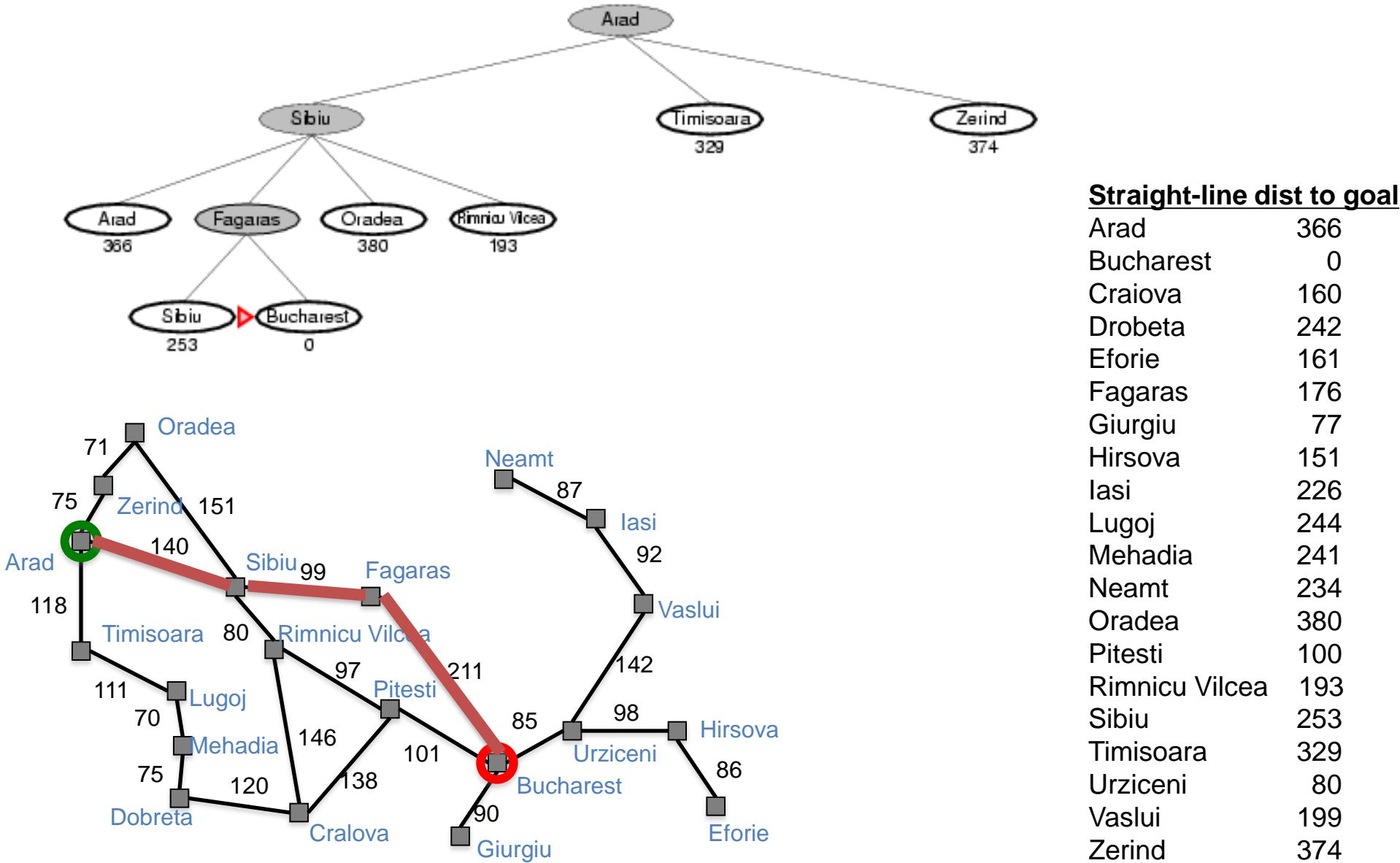
# Greedy best-first search

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(sometimes just called “best-first”)

- $h(n)$  = estimate of cost from  $n$  to goal
  - Ex:  $h(n)$  = straight line distance from  $n$  to Bucharest
- Greedy best-first search expands the node that **appears** to be closest to goal
  - Priority queue sort function =  $h(n)$

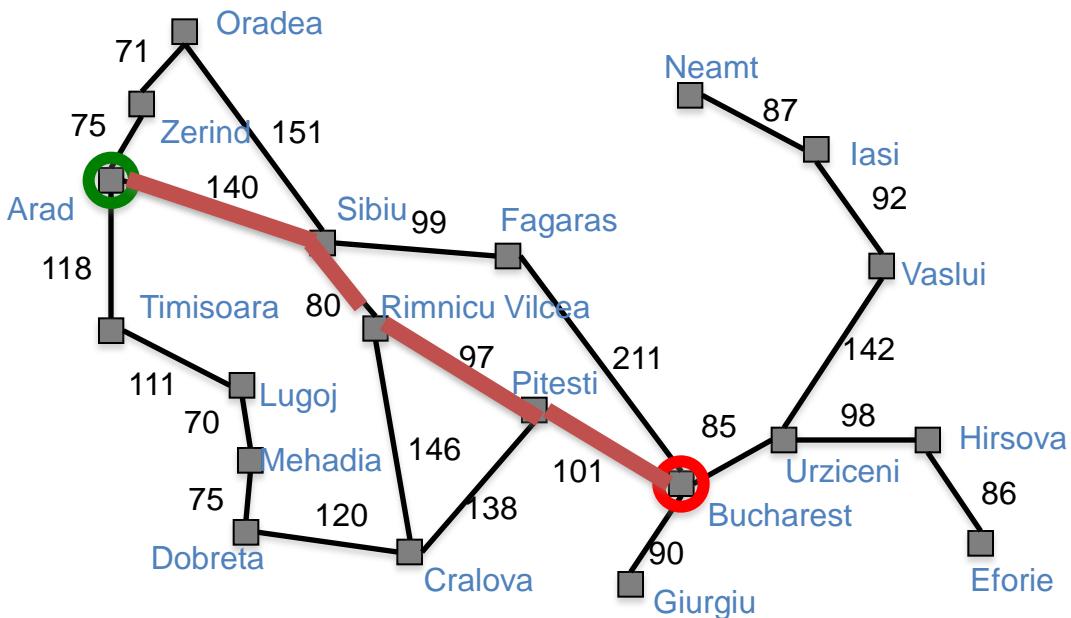
# Example: GBFS for Romania



# Example: GBFS for Romania

GBFS path: 450km

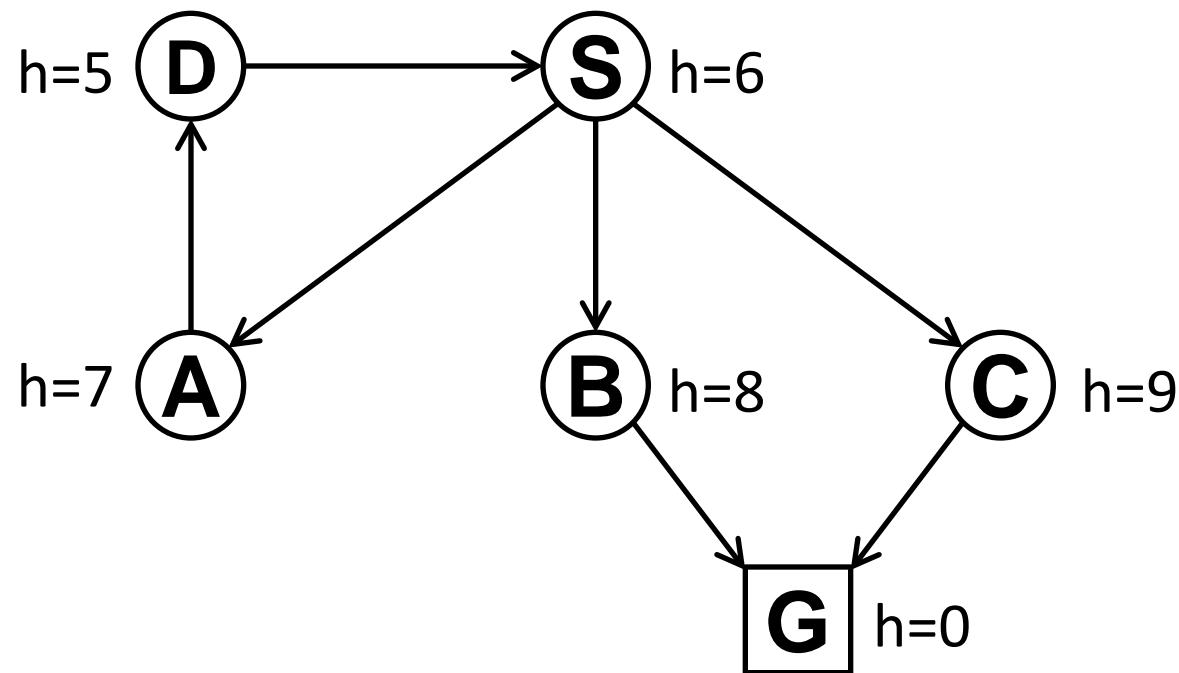
Optimal path: 418 km



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Eforie	161
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# Greedy best-first search

- With tree-search, will become stuck in this loop:
  - Order of node expansion: S A D S A D S A D ...
  - Path found: none
  - Cost of path found: none



# Properties of greedy best-first search

- Complete?
  - Tree version can get stuck in loops
  - Graph version is complete in finite spaces
- Time?  $O(b^m)$ 
  - A good heuristic can give dramatic improvement
- Space?  $O(b^m)$ 
  - Keeps all nodes in memory
- Optimal? No
  - Example:

Arad – Sibiu – Rimnicu Vilcea – Pitesti – Bucharest is shorter!

# A\* search

- Idea: avoid expanding paths that are already expensive
  - Generally the preferred (simple) heuristic search
  - Optimal if heuristic is:  
admissible (tree search) / consistent (graph search)
- Evaluation function  $f(n) = g(n) + h(n)$ 
  - $g(n)$  = cost so far to reach  $n$
  - $h(n)$  = estimated cost from  $n$  to goal
  - $f(n) = g(n)+h(n)$  = estimated total cost of path through  $n$  to goal
- A\* algorithm is identical to UCS except priority queue sort function =  $f(n)$

# Admissible heuristics

- A heuristic  $h(n)$  is **admissible** if, for every node  $n$ ,

$$h(n) \leq h^*(n)$$

$h^*(n)$  = the true cost to reach the goal state from  $n$

- An admissible heuristic never overestimates the cost to reach the goal, i.e., it is never pessimistic
  - Ex: straight-line distance never overestimates road distance
- **Theorem:**  
if  $h(n)$  is admissible, A\* using Tree-Search is optimal

# Example: Admissible heuristics

7	2	4
5		6
8	3	1

Start State

	1	2
3	4	5
6	7	8

Goal State

- Two commonly used admissible heuristics
  - $h_1(s)$ : the number of misplaced tiles  
$$h_1(s) = 8$$
  - $h_2(s)$ : sum of the distances of the tiles from their goal  
$$h_2(s) = 3+1+2+2+2+3+3+2 \text{ ("Manhattan distance")}$$
$$= 18$$

# Consistent heuristics

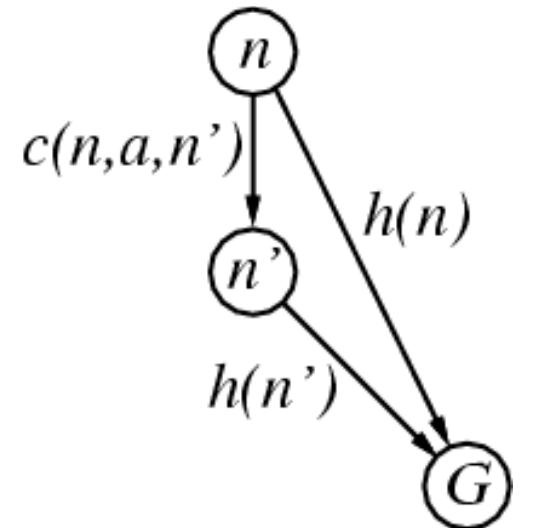
- A heuristic is **consistent** (or **monotone**) if for every node  $n$ , every successor  $n'$  of  $n$  generated by any action  $a$ ,

$$h(n) \leq c(n,a,n') + h(n')$$

- If  $h$  is consistent, we have

$$\begin{aligned} f(n') &= g(n') + h(n') \\ &= g(n) + c(n,a,n') + h(n') \\ &\geq g(n) + h(n) \\ &= f(n) \end{aligned}$$

i.e.,  $f(n)$  is non-decreasing along any path.



(Triangle inequality)

- Consistent  $\Rightarrow$  admissible (stronger condition)
- **Theorem:** If  $h(n)$  is consistent, A\* using Graph-Search is optimal

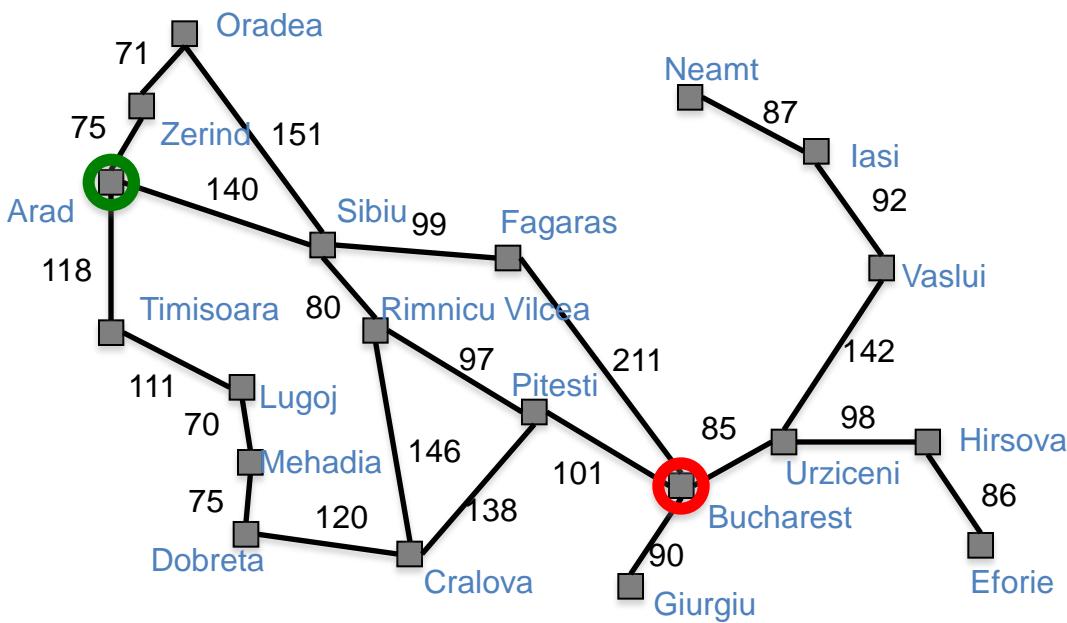
# Optimality conditions

- A\* Tree Search is optimal if heuristic is admissible
- A\* Graph Search is optimal if heuristic is consistent
- Why two different conditions?
  - In graph search you often find a long cheap path to a node after a short expensive one, so you might have to update all of its descendants to use the new cheaper path cost so far
  - A consistent heuristic avoids this problem (it can't happen)
  - Consistent is slightly stronger than admissible
  - Almost all admissible heuristics also are consistent
- Could we do optimal A\* Graph Search with an admissible heuristic?
  - Yes, but we would have to do additional work to update descendants when a cheaper path to a node is found
  - A consistent heuristic avoids this problem

# Ex: A\* Tree Search for Romania

► Arad  
366=0+366

**Red triangle:**  
**Node to expand next**



Straight-line dist to goal	
Arad	366
Bucharest	0
Craiova	160
Drobeta	242
Fagaras	176
Lugoj	244
Mehadia	241
Oradea	380
Pitesti	100
Rimnicu Vilcea	193
Sibiu	253
Timisoara	329
Zerind	374

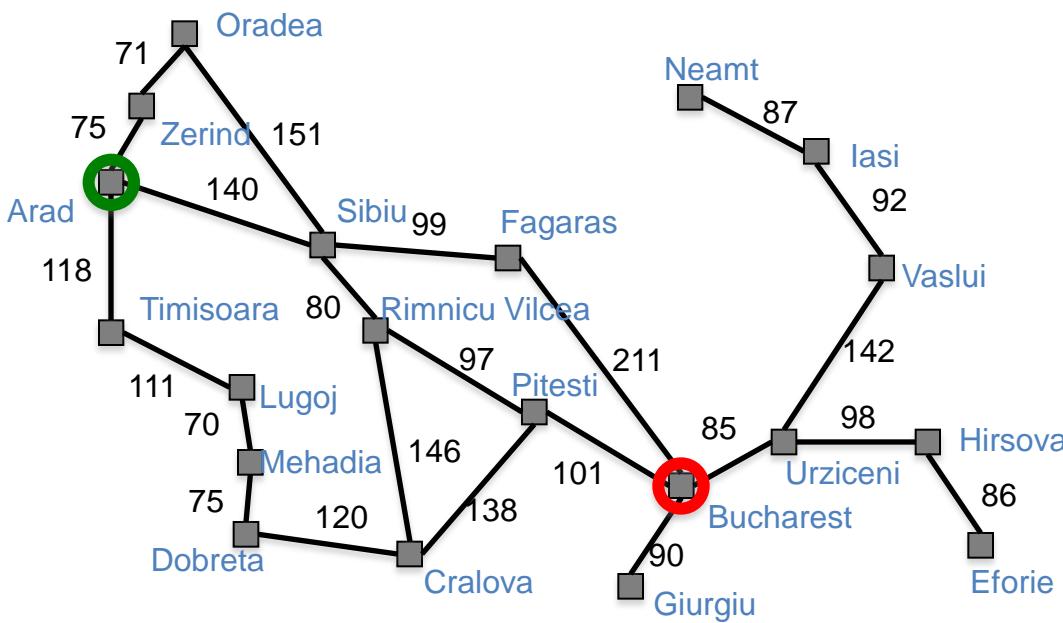
# Ex: A\* Tree Search for Romania

**Expanded:** None

**Children:** None

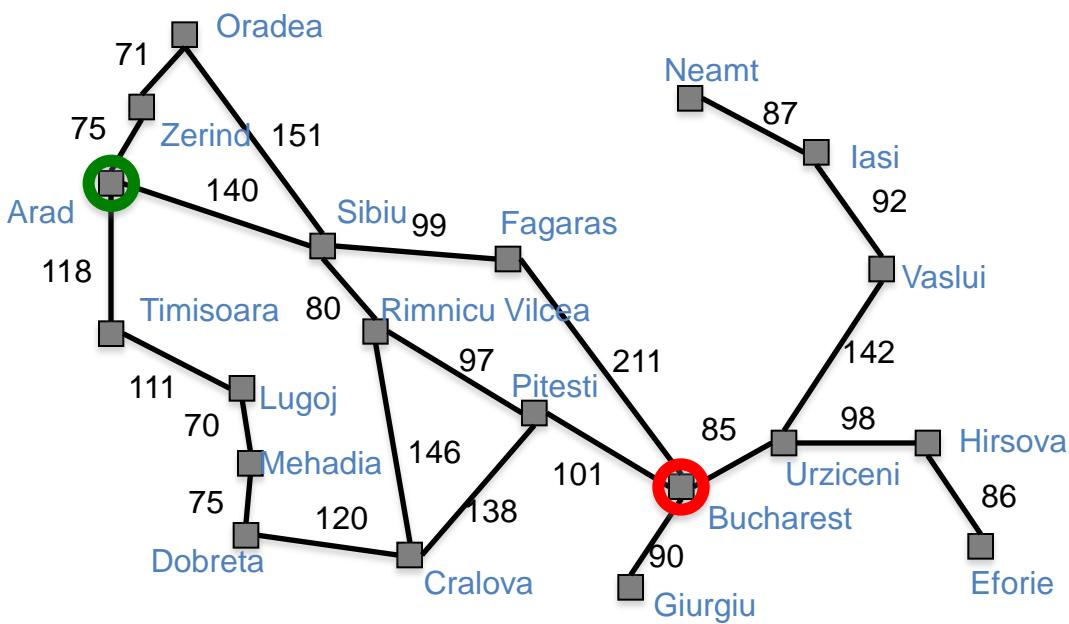
**Frontier:** Arad/366 (0+366),

**Red name:**  
**Node to expand next**



Straight-line dist to goal	
Arad	366
Bucharest	0
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Drobeta	242
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# Ex: A\* Tree Search for Romania



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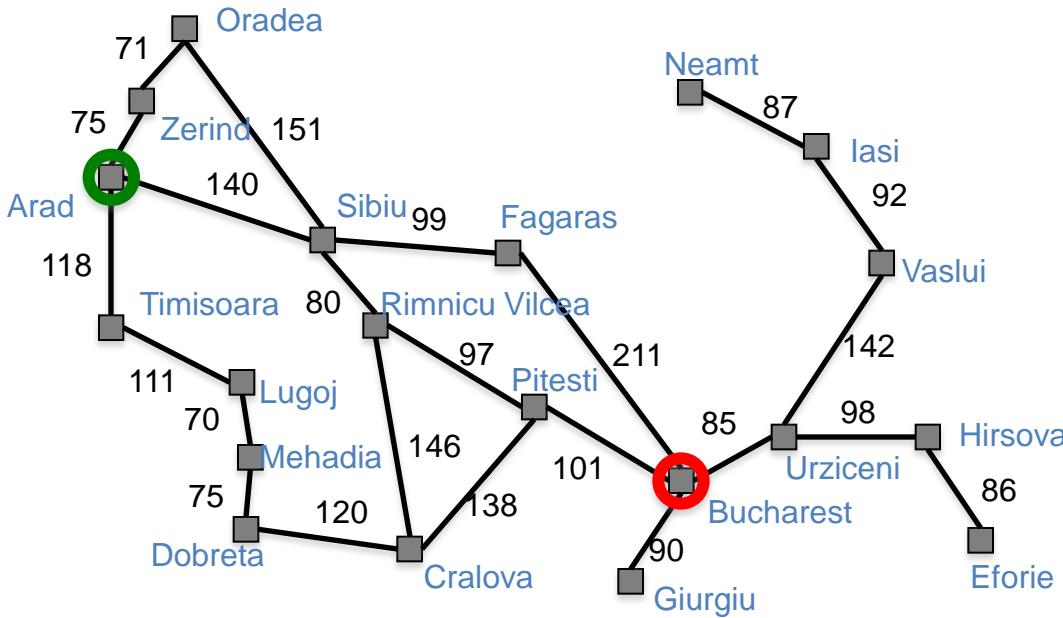
# Ex: A\* Tree Search for Romania

**Expanded:** Arad/366 (0+366)

**Underlined node:**  
Last node expanded

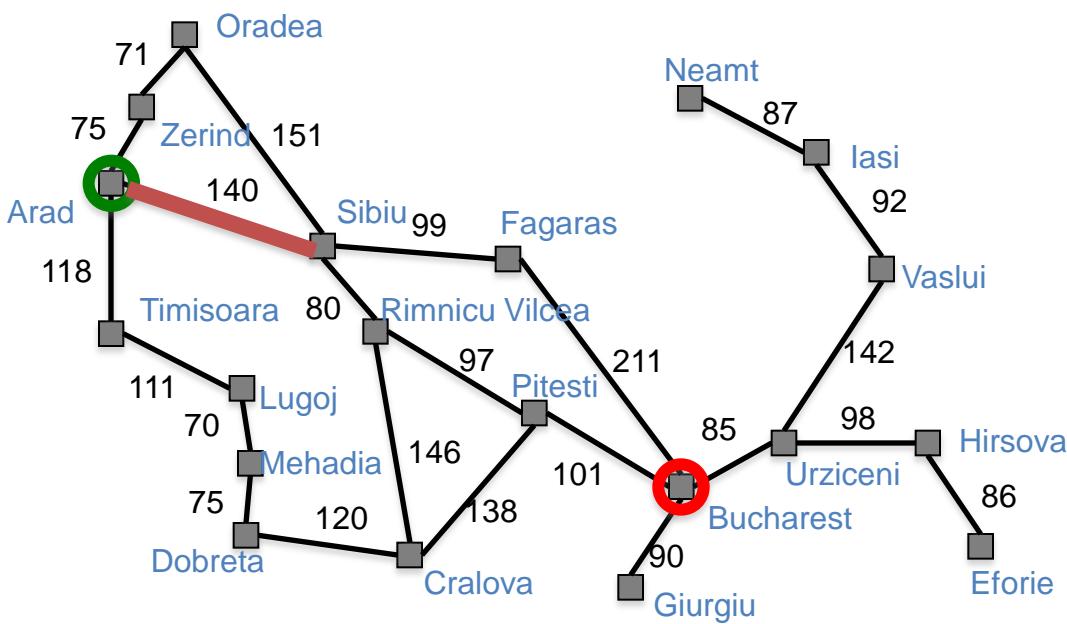
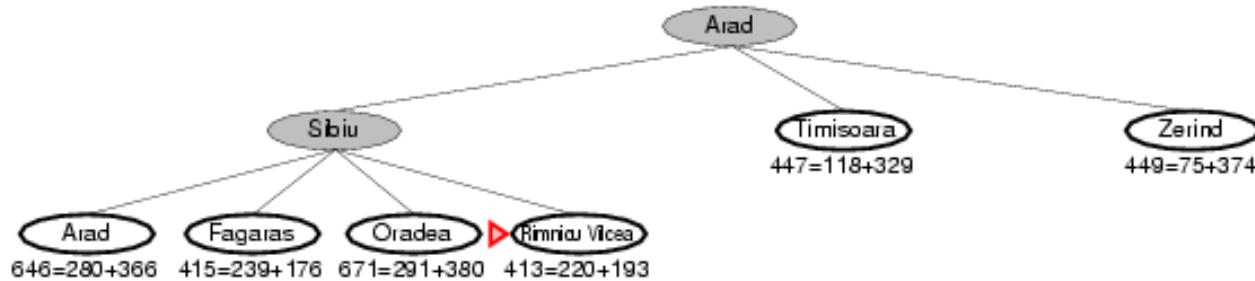
**Children:** Sibiu/393 (140+253), Timisoara/447 (118+329), Zerind/449 (75+374)

**Frontier:** Arad/366 (0+366), Sibiu/393 (140+253), Timisoara/447 (118+329),  
Zerind/449 (75+374)



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# Ex: A\* Tree Search for Romania



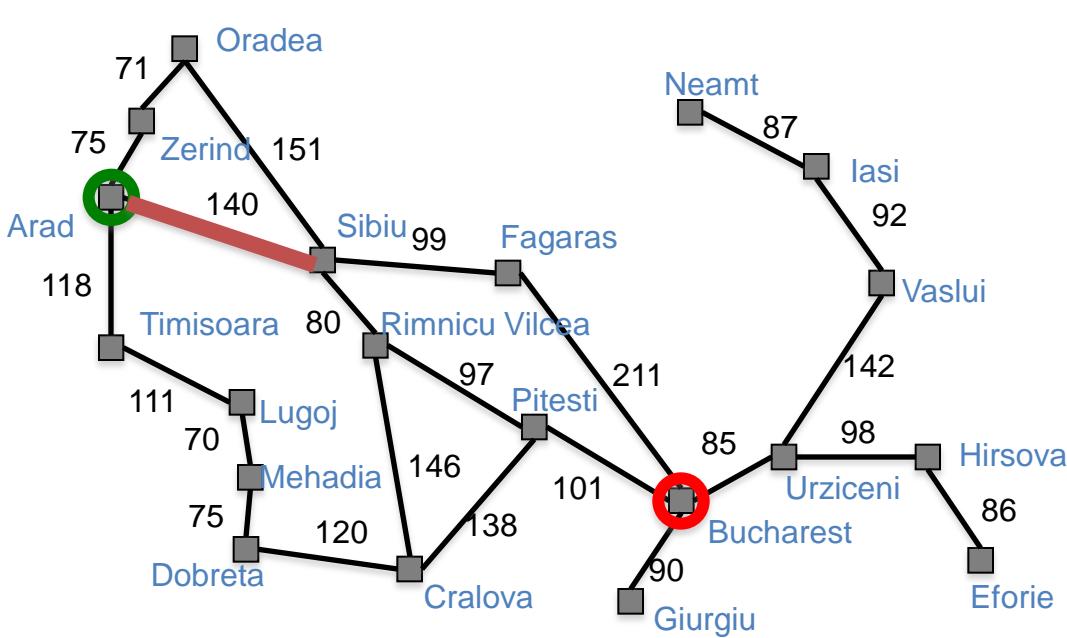
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Timisoara	329
Zerind	374

# Ex: A\* Tree Search for Romania

**Expanded:** Arad/366 (0+366), Sibiu/393 (140+253)

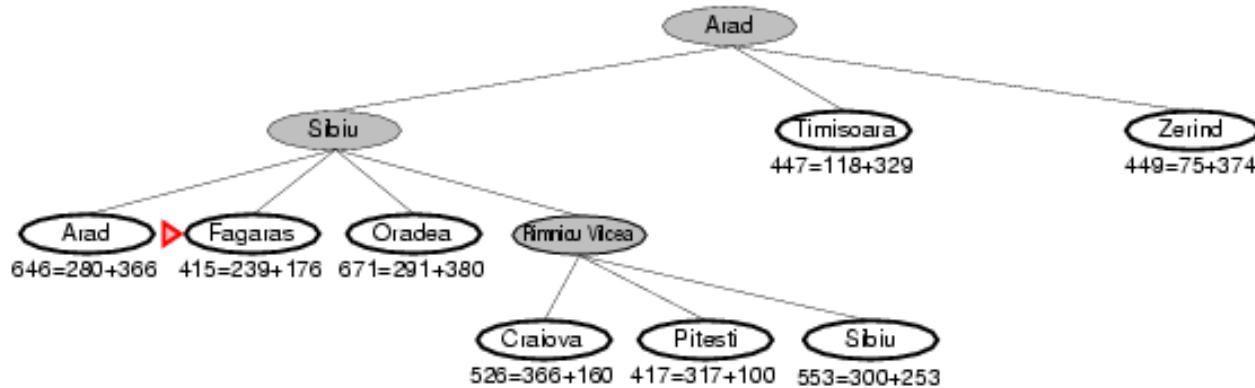
**Children:** Arad/646 (280+366), Fagaras/415 (239+176), Oradea/671 (291+380), Rimnicu Vilcea/413 (220+193)

**Frontier:** ~~Arad/366 (0+366), Sibiu/393 (140+253)~~, Timisoara/447 (118+329), Zerind/449 (75+374), Arad/646 (280+366), Fagaras/415 (239+176), Oradea/671 (291+380), Rimnicu Vilcea/413 (220+193)

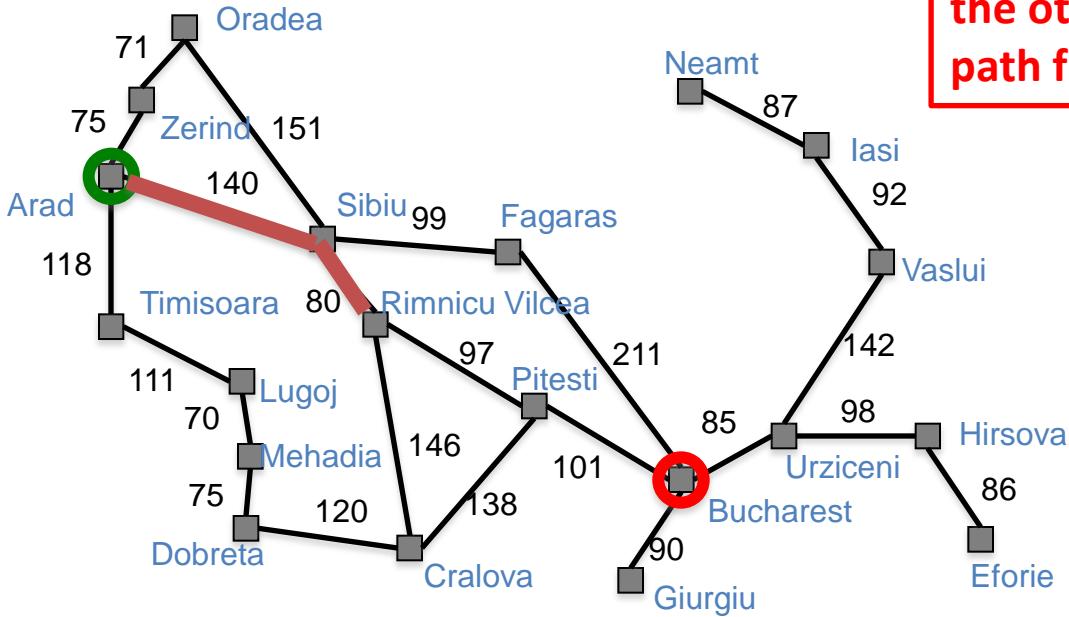


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# Ex: A\* Tree Search for Romania



The loop at Sibiu could  
be detected by noticing  
the other Sibiu on the  
path from child to root.



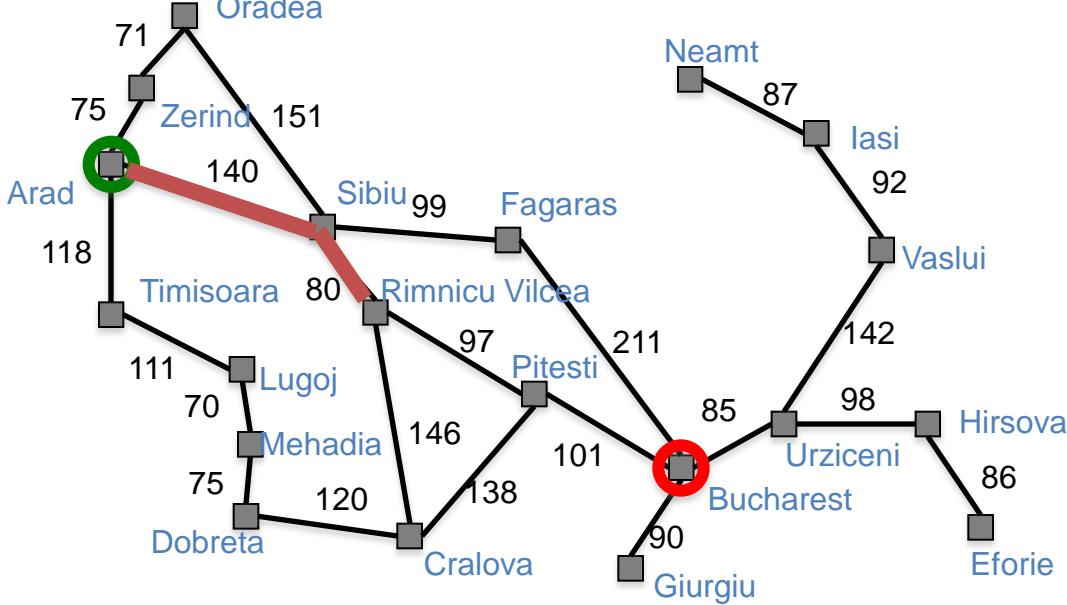
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# Ex: A\* Tree Search for Romania

**Expanded:** Arad/366 (0+366), Sibiu/393 (140+253), Rimnicu Vilcea/413 (220+193)

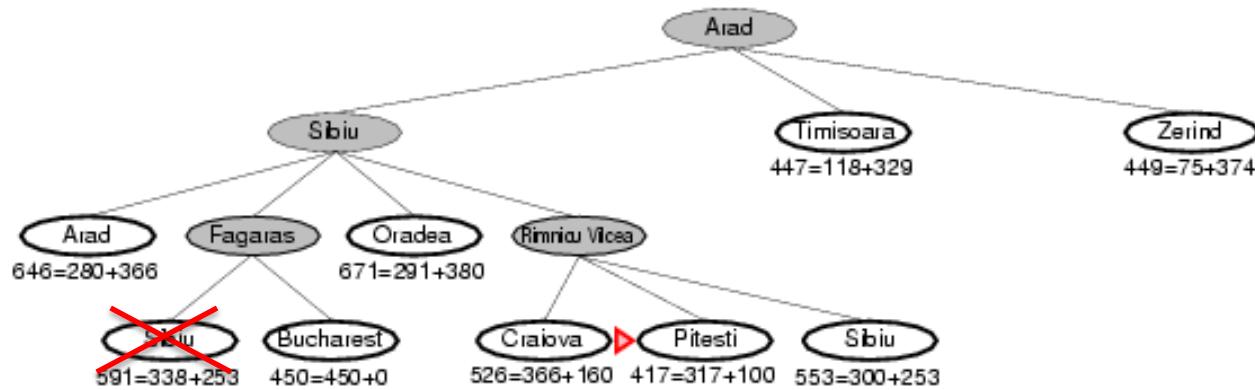
**Children:** Craiova/526 (366+160), Pitesti/417 (317+100), Sibiu/553 (300+253)

**Frontier:** Arad/366 (0+366), Sibiu/393 (140+253), Timisoara/447 (118+329), Zerind/449 (75+374), Arad/646 (280+366), Fagaras/415 (239+176), Oradea/671 (291+380), Rimnicu Vilcea/413 (220+193), Craiova/526 (366+160), Pitesti/417 (317+100), Sibiu/553 (300+253)

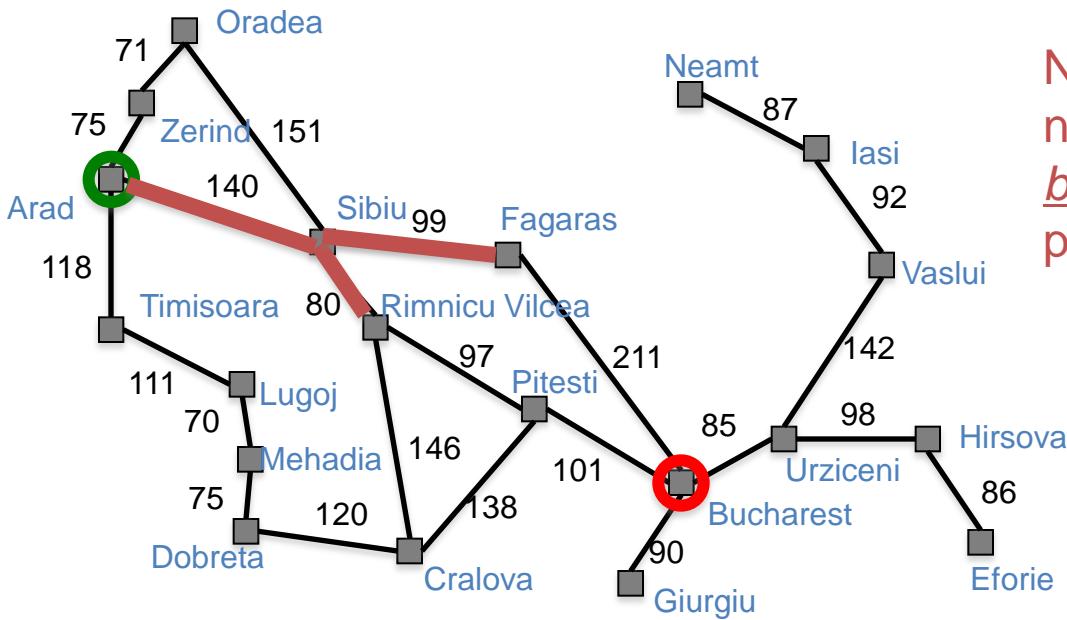


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# Ex: A\* Tree Search for Romania



Remove the higher-cost  
of identical nodes.



Note: search does  
not “backtrack”;  
both routes are  
pursued at once.

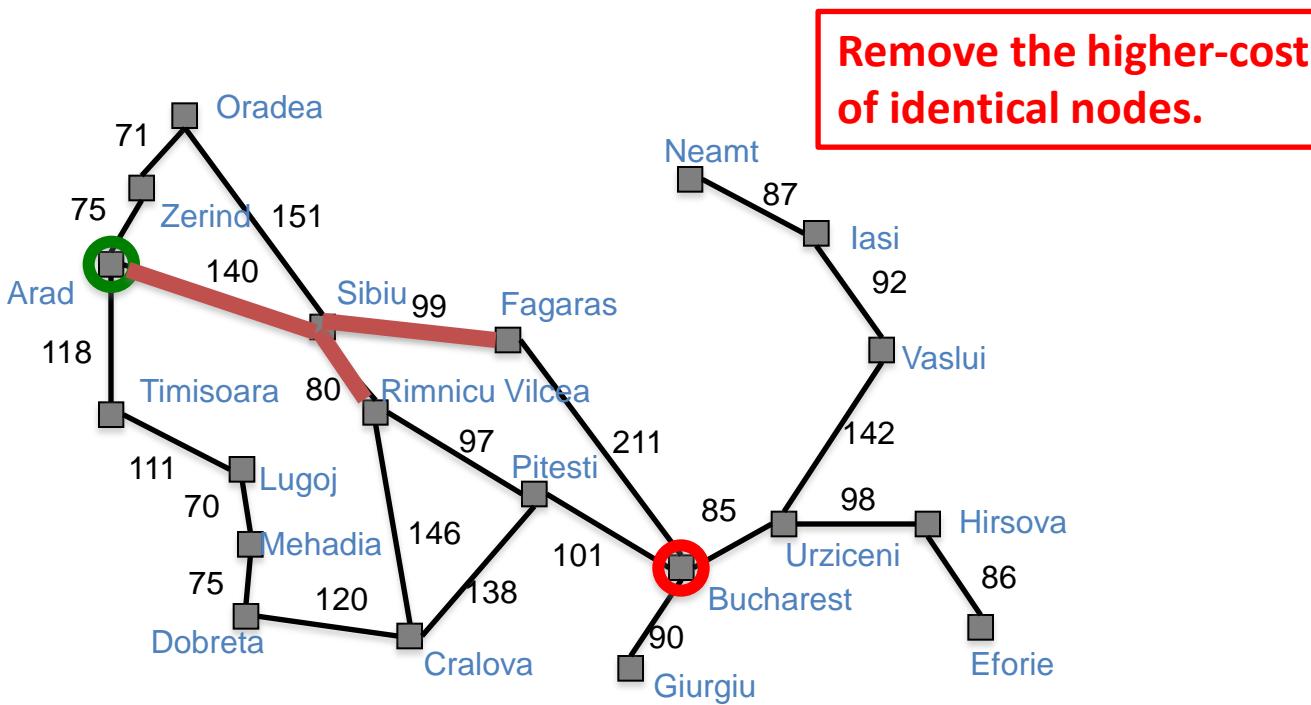
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# Ex: A\* Tree Search for Romania

**Expanded:** Arad/366 (0+366), Sibiu/393 (140+253), Rimnicu Vilcea/413 (220+193), Fagaras/415 (239+176)

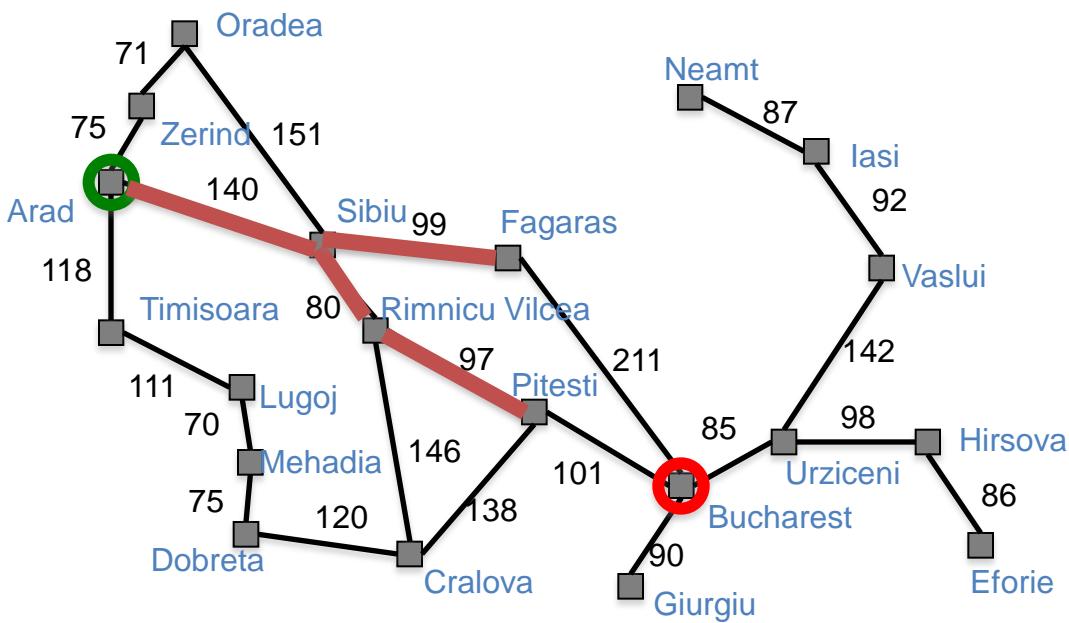
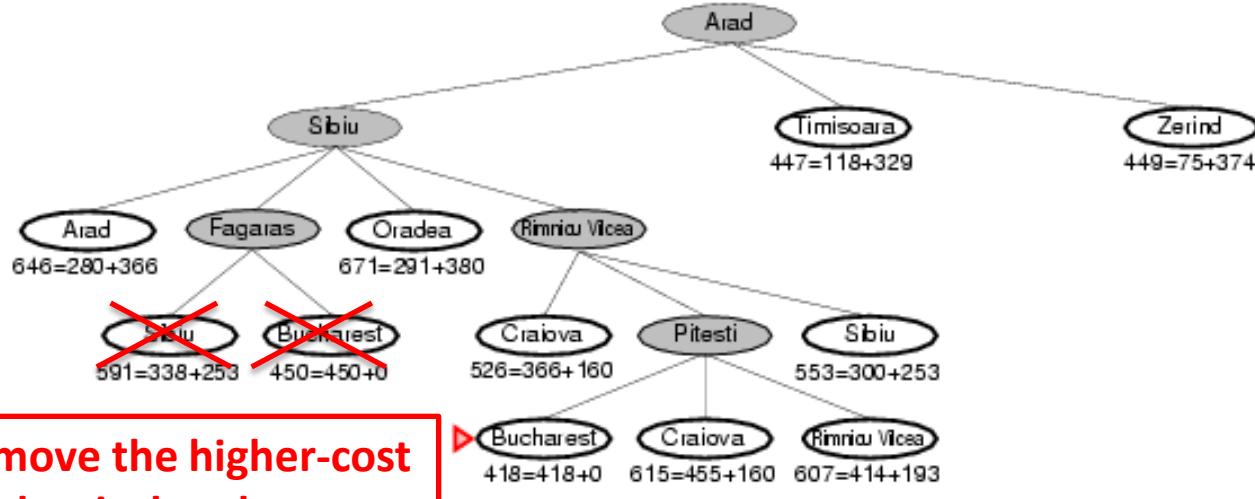
**Children:** Bucharest/450 (450+0), Sibiu/591 (338+253)

**Frontier:** Arad/366 (0+366), Sibiu/393 (140+253), Timisoara/447 (118+329), Zerind/449 (75+374), Arad/646 (280+366), Fagaras/415 (239+176), Oradea/671 (291+380), Rimnicu Vilcea/413 (220+193), Craiova/526 (366+160), Pitesti/417 (317+100), Sibiu/553 (300+253), Bucharest/450 (450+0), Sibiu/591 (338+253)



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# Ex: A\* Tree Search for Romania



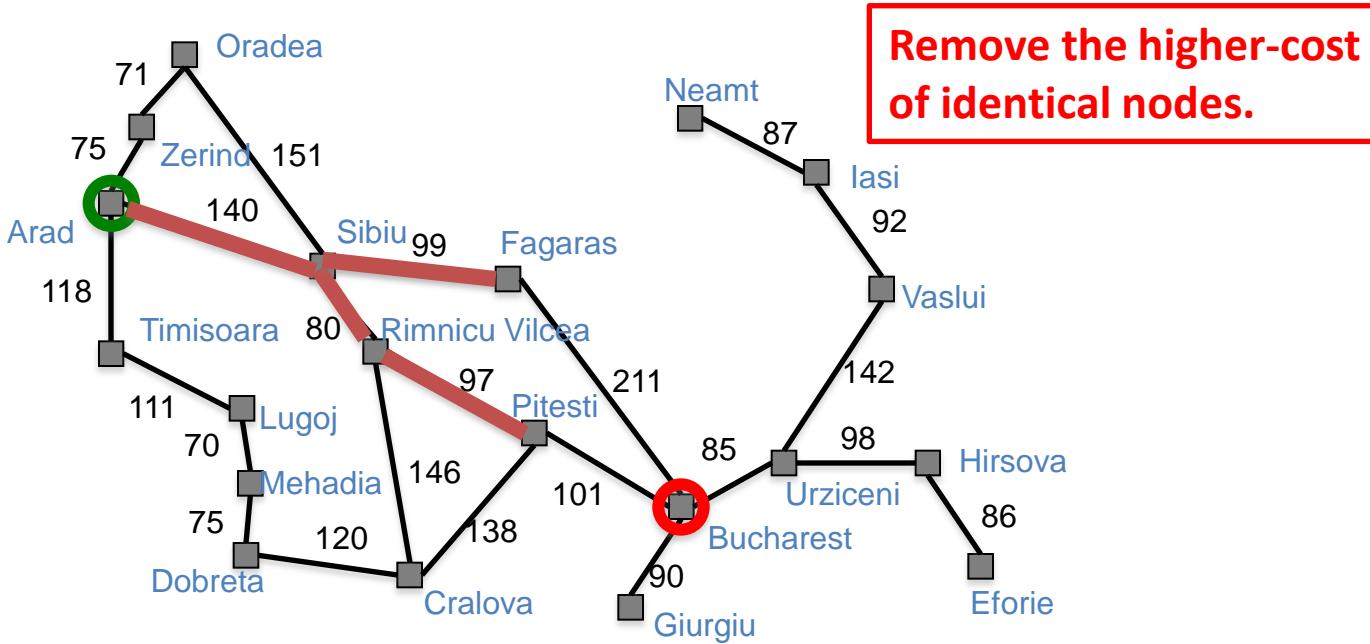
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# Ex: A\* Tree Search for Romania

**Expanded:** Arad/366 (0+366), Sibiu/393 (140+253), Rimnicu Vilcea/413 (220+193), Fagaras/415 (239+176), Pitesti/417 (317+100),

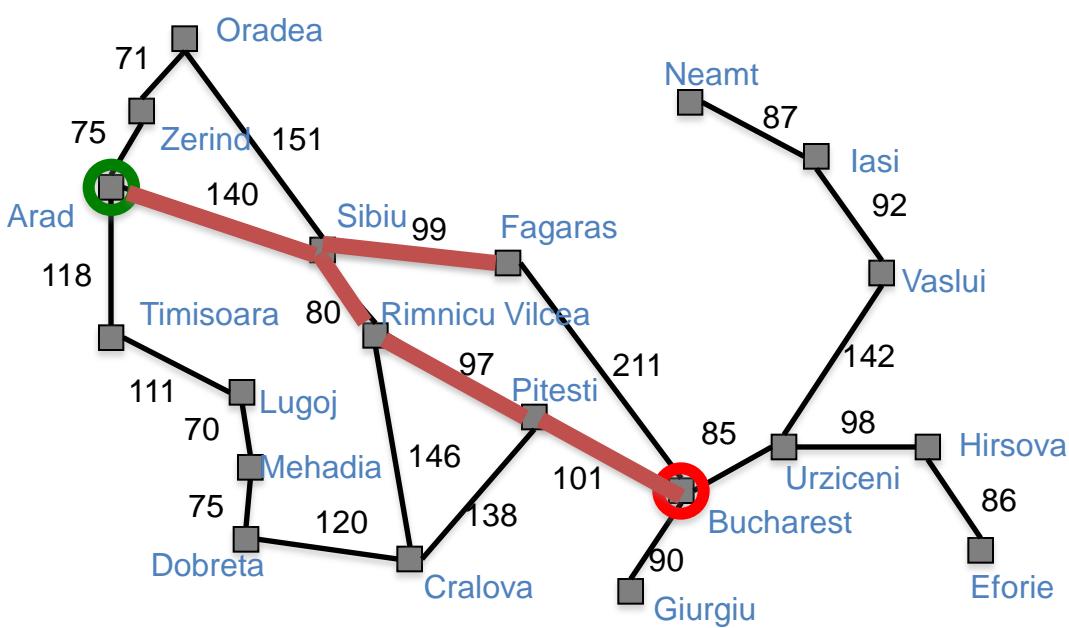
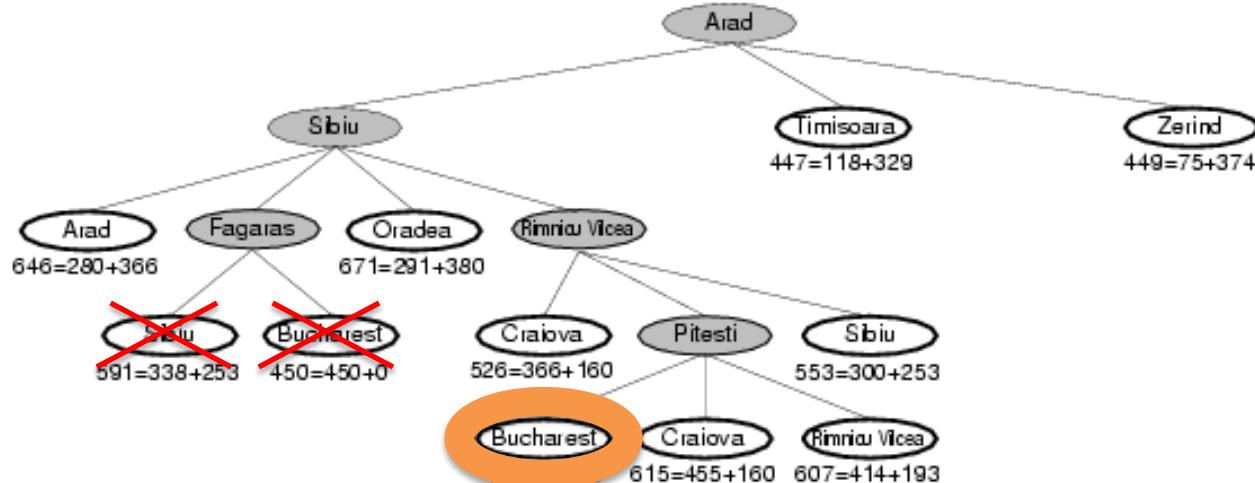
**Children:** Bucharest/418 (418+0), Craiova/615 (455+160), Rimnicu Vilcea/607 (414+193),

**Frontier:** Arad/366 (0+366), Sibiu/393 (140+253), Timisoara/447 (118+329), Zerind/449 (75+374), Arad/646 (280+366), Fagaras/415 (239+176), Oradea/671 (291+380), Rimnicu Vilcea/413 (220+193), Craiova/526 (366+160), Pitesti/417 (317+100), Sibiu/553 (300+253), Bucharest/450 (450+0), Sibiu/591 (338+253), Bucharest/418 (418+0), Craiova/615 (455+160), Rimnicu Vilcea/607 (414+193)



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# Ex: A\* Tree Search for Romania



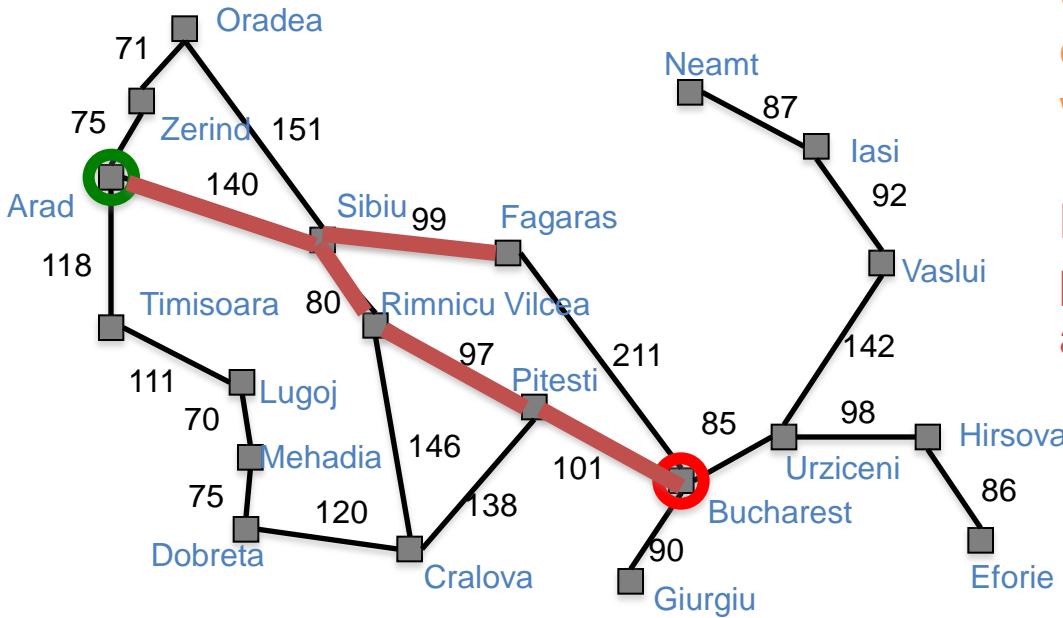
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# Ex: A\* Tree Search for Romania

**Expanded:** Arad/366 (0+366), Sibiu/393 (140+253), Rimnicu Vilcea/413 (220+193), Fagaras/415 (239+176), Pitesti/417 (317+100), Bucharest/418 (418+0)

**Children:** None (*goal test succeeds*)

**Frontier:** Arad/366 (0+366), Sibiu/393 (140+253), Timisoara/447 (118+329), Zerind/449 (75+374), Arad/646 (280+366), Fagaras/415 (239+176), Oradea/671 (291+380), Rimnicu Vilcea/413 (220+193), Craiova/526 (366+160), Pitesti/417 (317+100), Sibiu/553 (300+253), Bucharest/450 (450+0), Sibiu/591 (338+253), Bucharest/418 (418+0), Craiova/615 (455+160), Rimnicu Vilcea/607 (414+193)



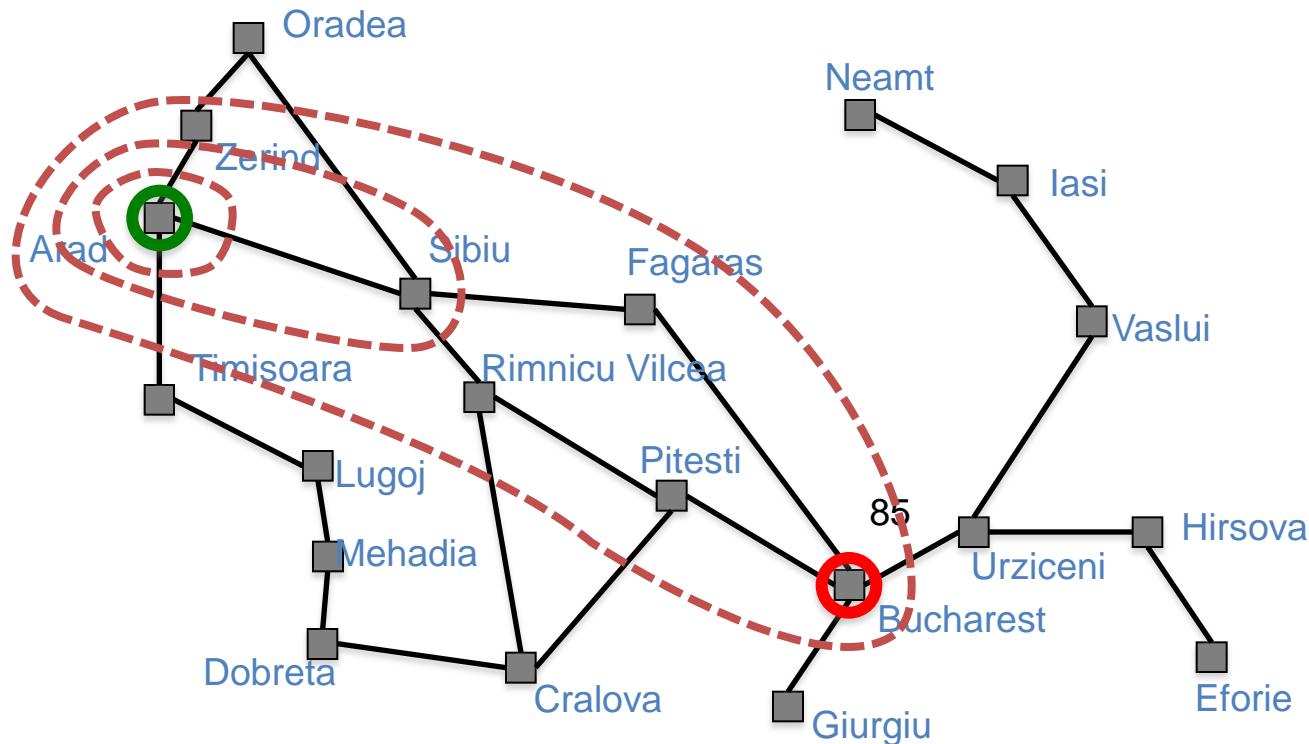
Shorter, more expensive path was removed

Longer, cheaper path will be found and returned

Straight-line dist to goal	
Arad	366
Bucharest	0
Craiova	160
Drobeta	242
Fagaras	176
Lugoj	244
Mehadia	241
Oradea	380
Pitesti	100
Rimnicu Vilcea	193
Sibiu	253
Timisoara	329
Zerind	374

# Contours of A\* search

- For consistent heuristic, A\* expands in order of increasing f value
- Gradually adds “f-contours” of nodes
- Contour  $i$  has all nodes with  $f(n) \leq f_i$ , where  $f_i < f_{i+1}$



# Properties of A\* search

- **Complete?** Yes
  - Unless infinitely many nodes with  $f < f(G)$
  - Cannot happen if step-cost  $\geq \varepsilon > 0$
- **Time/Space?**  $O(b^m)$ 
  - Except if  $|h(n) - h^*(n)| \leq O(\log h^*(n))$ 
    - Unlikely to have such an excellent heuristic function
- **Optimal?** Yes
  - With: Tree-Search, admissible heuristic; Graph-Search, consistent heuristic
- **Optimally efficient?** Yes
  - No optimal algorithm with same heuristic is guaranteed to expand fewer nodes

# Optimality of A\*

- Proof:

- Suppose some suboptimal goal  $G_2$  has been generated & is on the frontier. Let  $n$  be an unexpanded node on the path to an optimal goal  $G$
- Show:  $f(n) < f(G_2)$  (so,  $n$  is expanded before  $G_2$ )

$$f(G_2) = g(G_2) \quad \text{since } h(G_2) = 0$$

$$f(G) = g(G) \quad \text{since } h(G) = 0$$

$$g(G_2) > g(G) \quad \text{since } G_2 \text{ is suboptimal}$$

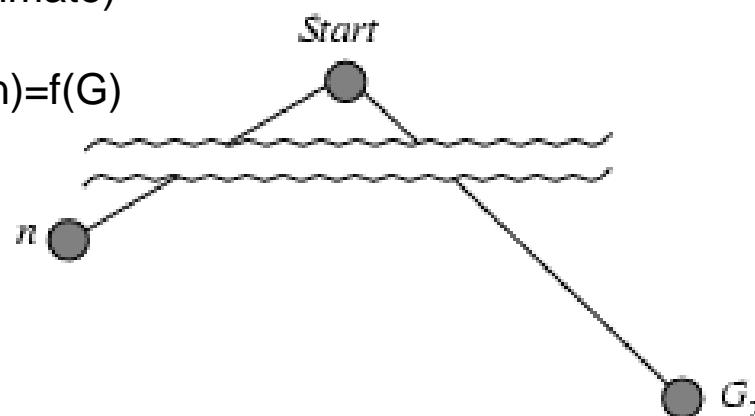
$$f(G_2) > f(G) \quad \text{from above, with } h=0$$

$$h(n) \leq h^*(n) \quad \text{since } h \text{ is admissible (*under-estimate*})$$

$$g(n) + h(n) \leq g(n) + h^*(n) \quad \text{from above}$$

$$f(n) \leq f(G) \quad \text{since } g(n)+h(n)=f(n) \text{ & } g(n)+h^*(n)=f(G)$$

$$f(n) < f(G_2) \quad \text{from above}$$



# Heuristic functions

- 8-Puzzle
  - Avg solution cost is about 22 steps
  - Branching factor ~ 3
  - Exhaustive search to depth 22 =  $3.1 \times 10^{10}$  states
  - A good heuristic f'n can reduce the search process
  - True cost for this start & goal: 26
- Two commonly used heuristics
  - $h_1$ : the number of misplaced tiles  
$$h_1(s) = 8$$
  - $h_2$ : sum of the distances of the tiles from their goal  
$$h_2(s) = 3+1+2+2+2+3+3+2$$
 (“Manhattan distance”)  
$$= 18$$

7	2	4
5		6
8	3	1

Start State

	1	2
3	4	5
6	7	8

Goal State

# Dominance

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- Definition:  
If  $h_2(n) \geq h_1(n)$  for all  $n$   
then  $h_2$  **dominates**  $h_1$ 
  - $h_2$  is almost always better for search than  $h_1$
  - $h_2$  is guaranteed to expand no more nodes than  $h_1$
  - $h_2$  almost always expands fewer nodes than  $h_1$
  - Not useful unless  $h_1, h_2$  are admissible / consistent
- Ex: 8-Puzzle / sliding tiles
  - $h_1$ : the number of misplaced tiles
  - $h_2$ : sum of the distances of the tiles from their goal
  - $h_2$  dominates  $h_1$

# Ex: 8-Puzzle

Average number of nodes expanded

d	IDS	A*(h1)	A*(h2)
2	10	6	6
4	112	13	12
8	6384	39	25
12	364404	227	73
14	3473941	539	113
20	-----	7276	676
24	-----	39135	1641

Average over 100 randomly generated 8-puzzle problems

h1 = number of tiles in the wrong position

h2 = sum of Manhattan distances

# Effective branching factor, $b^*$

- Let A\* generate N nodes to find a goal at depth d
  - Effective branching  $b^*$  is the branching factor a uniform tree of depth d would have in order to contain  $N+1$  nodes:

$$N + 1 = 1 + b^* + (b^*)^2 + \dots + (b^*)^d$$

$$= ((b^*)^d - 1)/(b^* - 1)$$

$$N \approx (b^*)^d \quad \Rightarrow \quad b^* \approx \sqrt[d]{N}$$

- For sufficiently hard problems,  $b^*$  is often fairly constant across different problem instances
- A good guide to the heuristic's overall usefulness
- A good way to compare different heuristics

# Designing heuristics

- Often constructed via problem relaxations
  - A problem with fewer restrictions on actions
  - Cost of an optimal solution to a relaxed problem is an admissible heuristic for the original problem
- Ex: 8-Puzzle
  - Relax rules so a tile can move anywhere:  $h_1(n)$
  - Relax rules so tile can move to any adjacent square:  $h_2(n)$
- A useful way to generate heuristics
  - Ex: ABSOLVER ([Prieditis 1993](#)) discovered the first useful heuristic for the Rubik's cube

# More on heuristics

- Combining heuristics
  - $H(n) = \max \{ h_1(n), h_2(n), \dots, h_k(n) \}$
  - “max” chooses the least optimistic heuristic at each node
- Pattern databases
  - Solve a subproblem of the true problem  
( = a lower bound on the cost of the true problem)
  - Store the exact solution for each possible subproblem

*	2	4
*		*
*	3	1

Start State

	1	2
3	4	*
*	*	*

Goal State

# Summary

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- Uninformed search has uses but also severe limitations
- Heuristics are a structured way to make search smarter
- Informed (or heuristic) search uses problem-specific heuristics to improve efficiency
  - Best-first, A\* (and if needed for memory, RBFS, SMA\*)
  - Techniques for generating heuristics
  - A\* is optimal with admissible (tree) / consistent (graph heuristics)
- Can provide significant speed-ups in practice
  - Ex: 8-Puzzle, dramatic speed-up
  - Still worst-case exponential time complexity (NP-complete)
- Next: local search techniques (hill climbing, GAs, annealing...)
  - Read R&N Ch 4 before next lecture

# You should know...

- evaluation function  $f(n)$  and heuristic function  $h(n)$  for each node  $n$ 
  - $g(n)$  = known path cost so far to node  $n$ .
  - $h(n)$  = estimate of (optimal) cost to goal from node  $n$ .
  - $f(n) = g(n)+h(n)$  = estimate of total cost to goal through node  $n$ .
- Heuristic searches: Greedy-best-first, A\*
  - A\* is optimal with admissible (tree)/consistent (graph) heuristics
  - Prove that A\* is optimal with admissible heuristic for tree search
  - Recognize when a heuristic is admissible or consistent
- $h_2$  dominates  $h_1$  iff  $h_2(n) \geq h_1(n)$  for all  $n$
- Effective branching factor:  $b^*$
- Inventing heuristics: relaxed problems; max or convex combination