## CS-271P Final Review

- Propositional Logic
- (7.1-7.5)
- First-Order Logic, Knowledge Representation
- (8.1-8.5, 9.1-9.2)
- Constraint Satisfaction Problems
- (6.1-6.4, except 6.3)
- Machine Learning
- (18.1-18.4)
- Questions on any topic
- Pre-mid-term material if time and class interest
- Please review your quizzes, mid-term, \& old tests
- At least one question from a prior quiz or test will appear on the Final Exam (and all other tests)


## Review Propositional Logic Chapter 7.1-7.5

- Definitions:
- Syntax, Semantics, Sentences, Propositions, Entails, Follows, Derives, Inference, Sound, Complete, Model, Satisfiable, Valid (or Tautology)
- Syntactic Transformations:
- E.g., $(A \Rightarrow B) \Leftrightarrow(\neg A \vee B)$
- Semantic Transformations:
- E.g., (KB $\mid=\alpha) \equiv(\mid=(K B \Rightarrow \alpha)$
- Truth Tables:
- Negation, Conjunction, Disjunction, Implication, Equivalence (Biconditional)
- Inference:
- By Model Enumeration (truth tables)
- By Resolution


## Recap propositional logic: Syntax

- Propositional logic is the simplest logic - illustrates basic ideas
- The proposition symbols $P_{1}, P_{2}$ etc are sentences
- If $S$ is a sentence, $\neg S$ is a sentence (negation)
- If $\mathrm{S}_{1}$ and $\mathrm{S}_{2}$ are sentences, $\mathrm{S}_{1} \wedge \mathrm{~S}_{2}$ is a sentence (conjunction)
- If $S_{1}$ and $S_{2}$ are sentences, $S_{1} \vee S_{2}$ is a sentence (disjunction)
- If $\mathrm{S}_{1}$ and $\mathrm{S}_{2}$ are sentences, $\mathrm{S}_{1} \Rightarrow \mathrm{~S}_{2}$ is a sentence (implication)
- If $S_{1}$ and $S_{2}$ are sentences, $S_{1} \Leftrightarrow S_{2}$ is a sentence (biconditional)


## Recap propositional logic: Semantics

Each model/world specifies true or false for each proposition symbol


With these symbols, 8 possible models can be enumerated automatically.

Rules for evaluating truth with respect to a model $m$ :

| $\neg S$ | is true iff | $S$ is false |  |
| :--- | :--- | :--- | :--- |
| $S_{1} \wedge S_{2}$ | is true iff | $S_{1}$ is true and | $S_{2}$ is true |
| $S_{1} \vee S_{2}$ | is true iff | $S_{1}$ is true or | $S_{2}$ is true |
| $S_{1} \Rightarrow S_{2}$ | is true iff | $S_{1}$ is false or | $S_{2}$ is true |
| (i.e., | is false iff | $S_{1}$ is true and | $S_{2}$ is false) |
| $S_{1} \Leftrightarrow S_{2}$ is true iff | $S_{1} \Rightarrow S_{2}$ is true and $S_{2} \Rightarrow S_{1}$ is true |  |  |

Simple recursive process evaluates an arbitrary sentence, e.g.,

$$
\neg \mathrm{P}_{1,2} \wedge\left(\mathrm{P}_{2,2} \vee \mathrm{P}_{3,1}\right)=\text { true } \wedge(\text { true } \vee \text { false })=\text { true } \wedge \text { true }=\text { true }
$$

## Recap propositional logic: Truth tables for connectives

| $P$ | $Q$ | $\neg P$ | $P \wedge Q$ | $P \vee Q$ | $P \Rightarrow Q$ | $P \Leftrightarrow Q$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| false | false | true | false | false | true | true |
| false | true | true | false | true | true | false |
| true | false | false | false | true | false | false |
| true | true | false | true | true | true | true |

OR: P or Q is true or both are true. $\mathrm{XOR}: \mathrm{P}$ or Q is true but not both.

Implication is always true when the premises are False!

## Recap propositional logic: Logical equivalence and rewrite rules

- To manipulate logical sentences we need some rewrite rules.
- Two sentences are logically equivalent iff they are true in same models: $\alpha \equiv \beta$ iff $\alpha \neq \beta$ and $\beta \neq \alpha$

$$
\begin{aligned}
(\alpha \wedge \beta) & \equiv(\beta \wedge \alpha) \text { commutativity of } \wedge \\
(\alpha \vee \beta) & \equiv(\beta \vee \alpha) \text { commutativity of } \vee \\
((\alpha \wedge \beta) \wedge \gamma) & \equiv(\alpha \wedge(\beta \wedge \gamma)) \text { associativity of } \wedge \\
((\alpha \vee \beta) \vee \gamma) & \equiv(\alpha \vee(\beta \vee \gamma)) \text { associativity of } \vee \\
\neg(\neg \alpha) & \equiv \alpha \text { double-negation elimination } \\
(\alpha \Rightarrow \beta) & \equiv(\neg \beta \Rightarrow \neg) \text { contraposition to to } \\
(\alpha \Rightarrow \beta) & \equiv(\neg \alpha \vee \beta) \text { implication elimination } \\
(\alpha \Leftrightarrow \beta) & \equiv((\alpha \Rightarrow \beta) \wedge(\beta \Rightarrow \alpha)) \quad \text { biconditional elimination } \\
(\neg(\alpha \wedge \beta) & \equiv(\neg \alpha \vee \neg \beta) \text { de Morgan } \\
\neg \neg(\alpha \vee \beta) & \equiv(\neg \alpha \wedge \neg \beta) \text { de Morgan } \\
(\alpha \wedge(\beta \vee \gamma)) & \equiv((\alpha \wedge \beta) \vee(\alpha \wedge \gamma)) \text { distributivity of } \wedge \text { over } \vee \\
(\alpha \vee(\beta \wedge \gamma)) & \equiv((\alpha \vee \beta) \wedge(\alpha \vee \gamma)) \text { distributivity of } \vee \text { over } \wedge
\end{aligned}
$$

## Recap propositional logic: Entailment

- Entailment means that one thing follows from another:

$$
K B \neq \alpha
$$

- Knowledge base $K B$ entails sentence $\alpha$ if and only if $\alpha$ is true in all worlds where $K B$ is true
- E.g., the KB containing "the Giants won and the Reds won" entails "The Giants won".
- E.g., $x+y=4$ entails $4=x+y$
- E.g., "Mary is Sue's sister and Amy is Sue's daughter" entails "Mary is Amy's aunt."


## Review: Models (and in FOL, Interpretations)

- Models are formal worlds in which truth can be evaluated
- We say $m$ is a model of a sentence $\alpha$ if $\alpha$ is true in $m$
- $M(\alpha)$ is the set of all models of $\alpha$
- Then KB $=\alpha$ iff $M(K B) \subseteq M(\alpha)$
- E.g. $K B$, = "Mary is Sue's sister and Amy is Sue's daughter."
$-\alpha=$ "Mary is Amy's aunt."
- Think of $K B$ and $\alpha$ as constraints, and of models $m$ as possible states.
- $M(K B)$ are the solution to $K B$ and $M(\alpha)$ the solutions to $\alpha$.

- Then, $K B \neq \alpha$, i.e., $=(K B \Rightarrow a)$, when all solutions to $K B$ are also solutions to $\alpha$.


## Review: Wumpus models



- $K B=$ all possible wumpus-worlds consistent with the observations and the "physics" of the Wumpus world.


## Review: Wumpus models


$\alpha_{1}=$ "[1,2] is safe", $K B \neq \alpha_{1}$, proved by model checking.
Every model that makes $K B$ true also makes $\alpha_{1}$ true.

## Wumpus models



## Review: Schematic for Follows, Entails, and Derives



If $K B$ is true in the real world, then any sentence $\alpha$ entailed by KB
and any sentence $\alpha$ derived from $K B$ by a sound inference procedure
is also true in the real world.

## Schematic Example: Follows, Entails, and Derives



## Recap propositional logic: Validity and satisfiability

A sentence is valid if it is true in all models,
e.g., True, $\quad A \vee \neg A, \quad A \Rightarrow A, \quad(A \wedge(A \Rightarrow B)) \Rightarrow B$

Validity is connected to inference via the Deduction Theorem:
$K B=\alpha$ if and only if $(K B \Rightarrow \alpha)$ is valid

A sentence is satisfiable if it is true in some model e.g., $\mathrm{A} \vee \mathrm{B}, \quad \mathrm{C}$

A sentence is unsatisfiable if it is false in all models
e.g., $A \wedge \neg A$

Satisfiability is connected to inference via the following:
$K B \neq \mathrm{A}$ if and only if ( $K B \wedge \neg \mathrm{~A}$ ) is unsatisfiable
(there is no model for which $K B$ is true and $A$ is false)

## Inference Procedures

- $K B \vdash_{i} A$ means that sentence $A$ can be derived from $K B$ by procedure $i$
- Soundness: $i$ is sound if whenever $K B \vdash_{i} \alpha$, it is also true that $K B=\alpha$
- (no wrong inferences, but maybe not all inferences)
- Completeness: $i$ is complete if whenever $K B \neq \alpha$, it is also true that $K B \vdash_{i} \alpha$
- (all inferences can be made, but maybe some wrong extra ones as well)
- Entailment can be used for inference (Model checking)
- enumerate all possible models and check whether $\alpha$ is true.
- For $n$ symbols, time complexity is $O\left(2^{n}\right)$...
- Inference can be done directly on the sentences
- Forward chaining, backward chaining, resolution (see FOPC, later)


## Resolution = Efficient Implication

Recall that $(\mathrm{A}=>\mathrm{B})=(($ NOT A$)$ OR B)
and so:
$\begin{aligned}(\mathrm{Y} & \mathrm{OR} \mathrm{X})\end{aligned}=((\operatorname{NOT} X)=>\mathrm{Y})$
which yields:
$((\mathrm{Y} O R \mathrm{X})$ AND $(($ NOT Y$) \mathrm{OR} Z))=(($ NOT X $)=>\mathrm{Z})=(\mathrm{X}$ OR Z $)$

Recall: All clauses in KB are conjoined by an implicit AND (= CNF representation).

## Resolution Examples

- Resolution: inference rule for CNF: sound and complete! *
------------
$\therefore(B \vee C)$
$(A \vee B \vee C)$
$(\neg A \vee D \vee E)$
$\therefore(B \vee C \vee D \vee E)$
$(A \vee B)$
$(\neg A \vee B)$
$\therefore(B \vee B) \equiv B$
"If $A$ or $B$ or $C$ is true, but not $A$, then $B$ or $C$ must be true."
"If A is false then B or C must be true, or if A is true then $D$ or $E$ must be true, hence since $A$ is either true or false, B or C or D or E must be true."
"If $A$ or $B$ is true, and not $A$ or $B$ is true, then B must be true."
* Resolution is "refutation complete" in that it can prove the truth of any entailed sentence by refutation.

Simplification is done always.

# Only Resolve ONE Literal Pair! If more than one pair, result always = TRUE. Useless!! Always simplifies to TRUE!! 

No!

(OR C D F G)
No! This is wrong!

Yes! (but = TRUE)

(OR B $\neg \mathrm{BCDF}$ G)
Yes! (but = TRUE)



## Resolution Algorithm

- The resolution algorithm tries to prove: $\begin{aligned} & K B \mid=\alpha \text { equivalent to } \\ & K B \wedge \neg \alpha \text { unsatisfiable }\end{aligned}$
- Generate all new sentences from KB and the (negated) query.
- One of two things can happen:

1. We find $\quad P \wedge \neg P$ which is unsatisfiable. I.e. we can entail the query.
2. We find no contradiction: there is a model that satisfies the sentence $K B \wedge \neg \alpha \quad$ (non-trivial) and hence we cannot entail the query.

## Resolution example

- $K B=\left(\mathrm{B}_{1,1} \Leftrightarrow\left(\mathrm{P}_{1,2} \vee \mathrm{P}_{2,1}\right)\right) \wedge \neg \mathrm{B}_{1,1}$
- $\alpha=\neg P_{1,2}$


False in all worlds

## Detailed Resolution Proof Example

- In words: If the unicorn is mythical, then it is immortal, but if it is not mythical, then it is a mortal mammal. If the unicorn is either immortal or a mammal, then it is horned. The unicorn is magical if it is horned.

Prove that the unicorn is both magical and horned.

| $\left(\begin{array}{l}\text { (NOT Y) (NOT R) })\end{array}\right.$ | $\left(\begin{array}{l}\text { M Y) }\end{array}\right.$ | (R Y) | (NOT M) ) |
| :--- | :--- | :--- | :--- |
| $(H$ R) | $($ (NOT H) G) | $($ (NOT G) (NOT H) ) |  |

- Fourth, produce a resolution proof ending in ( ):
- Resolve $(\neg-\mathrm{H}-\mathrm{G})$ and $(\neg \mathrm{H} G)$ to give $(\neg \mathrm{H})$
- Resolve ( $\neg-\mathrm{Y})$ and ( Y M ) to give ( $\neg \mathrm{R} M$ )
- Resolve ( $-\mathrm{R} M$ ) and ( RH ) to give ( $\mathrm{M} H$ )
- Resolve (M H) and ( $-\mathrm{M} H$ ) to give (H)
- Resolve ( -H ) and (H) to give ()
- Of course, there are many other proofs, which are OK iff correct.


## Propositional Logic --- Summary

- Logical agents apply inference to a knowledge base to derive new information and make decisions
- Basic concepts of logic:
- syntax: formal structure of sentences
- semantics: truth of sentences wrt models
- entailment: necessary truth of one sentence given another
- inference: deriving sentences from other sentences
- soundness: derivations produce only entailed sentences
- completeness: derivations can produce all entailed sentences
- valid: sentence is true in every model (a tautology)
- Logical equivalences allow syntactic manipulations
- Propositional logic lacks expressive power
- Can only state specific facts about the world.
- Cannot express general rules about the world (use First Order Predicate Logic instead)


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## Know ledge Representation using First-Order Logic

- Propositional Logic is Useful --- but has Limited Expressive Power
- First Order Predicate Calculus (FOPC), or First Order Logic (FOL).
- FOPC has greatly expanded expressive power, though still limited.
- New Ontology
- The world consists of OBJ ECTS (for propositional logic, the world was facts).
- OBJECTS have PROPERTIES and engage in RELATIONS and FUNCTIONS.
- New Syntax
- Constants, Predicates, Functions, Properties, Quantifiers.
- New Semantics
- Meaning of new syntax.
- Knowledge engineering in FOL


## Review: Syntax of FOL: Basic elements

- Constants KingJohn, 2, UCI,...
- Predicates Brother, >,...
- Functions Sqrt, LeftLegOf,...
- Variables

$$
x, y, a, b, \ldots
$$

- Connectives
$\neg, \Rightarrow, \wedge, \vee, \Leftrightarrow$
- Equality
- Quantifiers
$\forall, \exists$


## Syntax of FOL: Basic syntax elements are symbols

- Constant Symbols:
- Stand for objects in the world.
- E.g., KingJ ohn, 2, UCI, ...
- Predicate Symbols
- Stand for relations (maps a tuple of objects to a truth-value)
- E.g., Brother(Richard, John), greater_than( 3,2 ), ...
- $P(x, y)$ is usually read as " $x$ is $P$ of $y . "$
- E.g., Mother(Ann, Sue) is usually "Ann is Mother of Sue."
- Function Symbols
- Stand for functions (maps a tuple of objects to an object)
- E.g., Sqrt(3), LeftLegOf(John), ...
- Model (world) = set of domain objects, relations, functions
- Interpretation maps symbols onto the model (world)
- Very many interpretations are possible for each KB and world!
- Job of the KB is to rule out models inconsistent with our knowledge.


## Syntax of FOL: Terms

- Term = logical expression that refers to an object
- There are two kinds of terms:
- Constant Symbols stand for (or name) objects:
- E.g., KingJ ohn, 2, UCI, Wumpus, ...
- Function Symbols map tuples of objects to an object:
- E.g., LeftLeg(KingJ ohn), Mother(Mary), Sqrt(x)
- This is nothing but a complicated kind of name
- No "subroutine" call, no "return value"


## Syntax of FOL: Atomic Sentences

- Atomic Sentences state facts (logical truth values).
- An atomic sentence is a Predicate symbol, optionally followed by a parenthesized list of any argument terms
- E.g., Married( Father(Richard), Mother(John) )
- An atomic sentence asserts that some relationship (some predicate) holds among the objects that are its arguments.
- An Atomic Sentence is true in a given model if the relation referred to by the predicate symbol holds among the objects (terms) referred to by the arguments.


## Syntax of FOL: Connectives \& Complex Sentences

- Complex Sentences are formed in the same way, and are formed using the same logical connectives, as we already know from propositional logic
- The Logical Connectives:
- $\Leftrightarrow$ biconditional
- $\Rightarrow$ implication
- $\wedge$ and
- $v$ or
- $\neg$ negation
- Semantics for these logical connectives are the same as we already know from propositional logic.


## Syntax of FOL: Variables

- Variables range over objects in the world.
- A variable is like a term because it represents an object.
- A variable may be used wherever a term may be used.
- Variables may be arguments to functions and predicates.
- A term with NO variables is called a ground term.
- All variables must be bound by a quantifier, $\forall$ or $\exists$
- (A variable not bound by a quantifier is called free.)
- Used by mathematicians, not used in this class


## Syntax of FOL: Logical Quantifiers

- There are two Logical Quantifiers:
- Universal: $\forall x P(x)$ means "For all $x, P(x)$."
- The "upside-down $A$ " reminds you of "ALL."
- Existential: $\exists x P(x)$ means "There exists $x$ such that, $P(x)$."
- The "upside-down E" reminds you of "EXISTS."
- Syntactic "sugar" --- we really only need one quantifier.
$-\quad \forall x P(x) \equiv \neg \exists x \neg P(x)$
$-\exists x P(x) \equiv \neg \forall x \neg P(x)$
- You can ALWAYS convert one quantifier to the other.
- RULES: $\forall \equiv \neg \exists \neg$ and $\exists \equiv \neg \forall \neg$
- RULE: To move negation "in" across a quantifier, change the quantifier to "the other quantifier" and negate the predicate on "the other side."
$-\neg \forall x P(x) \equiv \exists x \neg P(x)$
$-\neg \exists x P(x) \equiv \forall x \neg P(x)$


## Universal Quantification $\forall$

- $\forall$ means "for all"
- Allows us to make statements about all objects that have certain properties
- Can now state general rules:
$\forall x \operatorname{King}(x)=>$ Person(x) "All kings are persons."
$\forall x$ Person $(x)=>$ HasHead( $x$ ) "Every person has a head."
$\forall \quad \mathrm{i}$ Integer $(\mathrm{i})=>\operatorname{Integer}(\operatorname{plus}(\mathrm{i}, 1)) \quad$ "If i is an integer then $\mathrm{i}+1$ is an integer."

Note that
$\forall x \operatorname{King}(x) \wedge$ Person $(x)$ is not correct!
This would imply that all objects $x$ are Kings and are People
$\forall x \operatorname{King}(x)=>\operatorname{Person}(x)$ is the correct way to say this
Note that $=>$ is the natural connective to use with $\forall$.

## Existential Quantification $\exists$

- $\exists \mathrm{x}$ means "there exists an x such that..." (at least one object x )
- Allows us to make statements about some object without naming it
- Examples:
$\exists x \quad \operatorname{King}(x) \quad$ "Some object is a king."
$\exists x$ Lives_in(J ohn, Castle(x)) "J ohn lives in somebody’s castle."
$\exists \mathrm{i} \quad$ Integer(i) $\wedge$ GreaterThan(i,0) "Some integer is greater than zero."


## Note that $\wedge$ is the natural connective to use with $\exists$

(And remember that $=>$ is the natural connective to use with $\forall$ )

## Combining Quantifiers --- Order (Scope)

The order of "unlike" quantifiers is important.
$\forall x \exists y$ Loves $(x, y)$

- For everyone ("all x") there is someone ("exists y") whom they love
$\exists \mathrm{y} \forall \mathrm{x}$ Loves $(\mathrm{x}, \mathrm{y})$
- there is someone ("exists $y$ ") whom everyone loves ("all $x$ ")

Clearer with parentheses: $\exists y(\forall x \quad \operatorname{Loves}(x, y))$

The order of "like" quantifiers does not matter.

$$
\begin{aligned}
& \forall x \forall y P(x, y) \equiv \forall y \forall x P(x, y) \\
& \exists x \exists y P(x, y) \equiv \exists y \exists x P(x, y)
\end{aligned}
$$

## De Morgan's Law for Quantifiers

$$
\begin{aligned}
& \text { De Morgan's Rule } \\
& P \wedge Q \equiv \neg(\neg P \vee \neg Q) \\
& P \vee Q \equiv \neg(\neg P \wedge \neg Q) \\
& \neg(P \wedge Q) \equiv \neg P \vee \neg Q \\
& \neg(P \vee Q) \equiv \neg P \wedge \neg Q
\end{aligned}
$$

Generalized De Morgan's Rule

$$
\begin{aligned}
& \forall x P \equiv \neg \exists x(\neg P) \\
& \exists \times P \equiv \neg \forall x(\neg P) \\
& \neg \forall x P \equiv \exists x(\neg P) \\
& \neg \exists \times P \equiv \forall x(\neg P)
\end{aligned}
$$

Rule is simple: if you bring a negation inside a disjunction or a conjunction, always switch between them (or $\rightarrow$ and, and $\rightarrow$ or).

## Fun with sentences

Brothers are siblings
$\forall x, y \operatorname{Brother}(x, y) \Rightarrow \operatorname{Sibling}(x, y)$.
"Sibling" is symmetric
$\forall x, y \operatorname{Sibling}(x, y) \Leftrightarrow \operatorname{Sibling}(y, x)$.
One's mother is one's female parent
$\forall x, y \operatorname{Mother}(x, y) \Leftrightarrow(\operatorname{Female}(x) \wedge \operatorname{Parent}(x, y))$.
A first cousin is a child of a parent's sibling
$\forall x, y \quad \operatorname{FirstCousin}(x, y) \Leftrightarrow \exists p, p s \quad \operatorname{Parent}(p, x) \wedge \operatorname{Sibling}(p s, p) \wedge$ Parent(ps,y)

## More fun with sentences

- "All persons are mortal."
- [Use: Person(x), Mortal (x)]
- $\quad \forall x \operatorname{Person}(x) \Rightarrow \operatorname{Mortal}(x)$
- $\quad \forall x \neg \operatorname{Person}(x) \vee \operatorname{Mortal}(x)$
- Common Mistakes:
- $\quad \forall x$ Person(x) $\wedge \operatorname{Mortal}(x)$
- Note that $=>$ is the natural connective to use with $\forall$.


## More fun with sentences

- "Fifi has a sister who is a cat."
- [Use: Sister(Fifi, x), Cat(x)]
- $\exists x \operatorname{Sister}($ Fifi, $x) \wedge \operatorname{Cat}(x)$
- Common Mistakes:
- $\quad \exists x \operatorname{Sister}($ Fifi, $x) \Rightarrow \operatorname{Cat}(x)$
- Note that $\wedge$ is the natural connective to use with $\exists$


## More fun with sentences

- "For every food, there is a person who eats that food."
- [Use: Food(x), Person(y), Eats(y, x)]
- All are correct:
- $\quad \forall x$ ヨy $\operatorname{Food}(x) \Rightarrow[\operatorname{Person}(y) \wedge \operatorname{Eats}(y, x)]$
- $\quad \forall x \operatorname{Food}(x) \Rightarrow \exists y[\operatorname{Person}(y) \wedge \operatorname{Eats}(y, x)]$
- $\quad \forall x \exists y \neg \operatorname{Food}(x) \vee[\operatorname{Person}(y) \wedge E a t s(y, x)]$
- $\quad \forall x \exists y[\neg \operatorname{Food}(x) \vee \operatorname{Person}(y)] \wedge[\neg \operatorname{Food}(x) \vee \operatorname{Eats}(y, x)]$
- $\quad \forall x \exists y[\operatorname{Food}(x) \Rightarrow \operatorname{Person}(y)] \wedge[\operatorname{Food}(x) \Rightarrow \operatorname{Eats}(y, x)]$
- Common Mistakes:
- $\quad \forall x \exists y[\operatorname{Food}(x) \wedge \operatorname{Person}(y)] \Rightarrow \operatorname{Eats}(y, x)$
- $\quad \forall x \exists y \operatorname{Food}(x) \wedge \operatorname{Person}(y) \wedge \operatorname{Eats}(y, x)$


## More fun with sentences

- "Every person eats every food."
- [Use: Person (x), Food (y), Eats( $x, y$ )]
- $\quad \forall x \forall y[\operatorname{Person}(x) \wedge \operatorname{Food}(y)] \Rightarrow \operatorname{Eats}(x, y)$
- $\quad \forall x \forall y \neg \operatorname{Person}(x) \vee \neg \operatorname{Food}(y) \vee \operatorname{Eats}(x, y)$
- $\quad \forall x \forall y \operatorname{Person}(x) \Rightarrow[\operatorname{Food}(y) \Rightarrow \operatorname{Eats}(x, y)]$
- $\quad \forall x \forall y \operatorname{Person}(x) \Rightarrow[\neg \operatorname{Food}(y) \vee \operatorname{Eats}(x, y)]$
- $\quad \forall x \forall y \neg \operatorname{Person}(x) \vee[\operatorname{Food}(y) \Rightarrow \operatorname{Eats}(x, y)]$
- Common Mistakes:
- $\quad \forall x \forall y \operatorname{Person}(x) \Rightarrow[\operatorname{Food}(y) \wedge \operatorname{Eats}(x, y)]$
- $\quad \forall x \forall y \operatorname{Person}(x) \wedge \operatorname{Food}(y) \wedge E a t s(x, y)$


## More fun with sentences

- "All greedy kings are evil."
[Use: King(x), Greedy(x), Evil(x)]
- $\quad \forall x[\operatorname{Greedy}(x) \wedge \operatorname{King}(x)] \Rightarrow \operatorname{Evil}(x)$
- $\quad \forall x \neg \operatorname{Greedy}(x) \vee \neg \operatorname{King}(x) \vee \operatorname{Evil}(x)$
- $\quad \forall x \operatorname{Greedy}(x) \Rightarrow[\operatorname{King}(x) \Rightarrow \operatorname{Evil}(x)]$
- Common Mistakes:
- $\quad \forall x \operatorname{Greedy}(x) \wedge \operatorname{King}(x) \wedge \operatorname{Evil}(x)$


## More fun with sentences

- "Everyone has a favorite food."
- [Use: Person(x), Food(y), Favorite(y, x)]
- $\quad \forall x$ ヨy Person $(x) \Rightarrow[\operatorname{Food}(y) \wedge \operatorname{Favorite}(y, x)]$
- $\quad \forall x \operatorname{Person}(x) \Rightarrow \exists y[\operatorname{Food}(y) \wedge \operatorname{Favorite}(y, x)]$
- $\quad \forall x \exists y \neg \operatorname{Person}(x) \vee[\operatorname{Food}(y) \wedge \operatorname{Favorite}(y, x)]$
- $\quad \forall x \exists y[\neg P e r s o n(x) \vee \operatorname{Food}(y)] \wedge[\neg P e r s o n(x) \vee$ Favorite( $y, x$ ) ]
- $\quad \forall x \exists y[P e r s o n(x) \Rightarrow \operatorname{Food}(y)] \wedge[\operatorname{Person}(x) \Rightarrow$ Favorite(y, x) ]
- Common Mistakes:
- $\quad \forall x \exists y[\operatorname{Person}(x) \wedge \operatorname{Food}(y)] \Rightarrow$ Favorite( $y, x)$
- $\quad \forall x$ ヨy Person $(x) \wedge \operatorname{Food}(y) \wedge$ Favorite( $y, x)$


## Semantics: I nterpretation

- An interpretation of a sentence (wff) is an assignment that maps
- Object constant symbols to objects in the world,
- $n$-ary function symbols to $n$-ary functions in the world,
- $n$-ary relation symbols to n -ary relations in the world
- Given an interpretation, an atomic sentence has the value "true" if it denotes a relation that holds for those individuals denoted in the terms. Otherwise it has the value "false."
- Example: Kinship world:
- Symbols = Ann, Bill, Sue, Married, Parent, Child, Sibling, ...
- World consists of individuals in relations:
- Married(Ann,Bill) is false, Parent(Bill,Sue) is true, ...
- Your job, as a Knowledge Engineer, is to construct KB so it is true * exactly* for your world and intended interpretation.


## Semantics: Models and Definitions

- An interpretation and possible world satisfies a wff (sentence) if the wff has the value "true" under that interpretation in that possible world.
- A domain and an interpretation that satisfies a wff is a model of that wff
- Any wff that has the value "true" in all possible worlds and under all interpretations is valid.
- Any wff that does not have a model under any interpretation is inconsistent or unsatisfiable.
- Any wff that is true in at least one possible world under at least one interpretation is satisfiable.
- If a wff $w$ has a value true under all the models of a set of sentences KB then KB logically entails w.


## Conversion to CNF

- Everyone who loves all animals is loved by someone:
$\forall x[\forall y$ Animal $(\mathrm{y}) \Rightarrow \operatorname{Loves}(\mathrm{x}, \mathrm{y})] \Rightarrow[\exists \mathrm{y} \operatorname{Loves}(\mathrm{y}, \mathrm{x})]$

1. Eliminate biconditionals and implications
$\forall x[\neg \forall y \neg \operatorname{Animal}(\mathrm{y}) \vee \operatorname{Loves}(\mathrm{x}, \mathrm{y})] \vee[\exists \mathrm{y}$ Loves $(\mathrm{y}, \mathrm{x})]$
2. Move $\neg$ inwards:

$$
\neg \forall x p \equiv \exists x \neg p, \neg \exists x p \equiv \forall x \neg p
$$

$\forall x[\exists y \neg(\neg$ Animal $(\mathrm{y}) \vee \operatorname{Loves}(\mathrm{x}, \mathrm{y}))] \vee[\exists \mathrm{y}$ Loves $(\mathrm{y}, \mathrm{x})]$
$\forall x[\exists \mathrm{y} \neg \neg$ Animal $(\mathrm{y}) \wedge \neg \operatorname{Loves}(\mathrm{x}, \mathrm{y})] \vee[\exists \mathrm{y}$ Loves $(\mathrm{y}, \mathrm{x})]$
$\forall x[\exists y$ Animal $(\mathrm{y}) \wedge \neg \operatorname{Loves}(\mathrm{x}, \mathrm{y})] \vee[\exists \mathrm{y}$ Loves $(\mathrm{y}, \mathrm{x})]$

## Conversion to CNF contd.

3. Standardize variables: each quantifier should use a different one
$\forall x[\exists y$ Animal $(\mathrm{y}) \wedge \neg \operatorname{Loves}(\mathrm{x}, \mathrm{y})] \vee[\exists \mathrm{z} \operatorname{Loves}(\mathrm{z}, \mathrm{x})]$
4. Skolemize: a more general form of existential instantiation. Each existential variable is replaced by a Skolem function of the enclosing universally quantified variables:
$\forall x[\operatorname{Animal}(F(x)) \wedge \neg \operatorname{Loves}(x, F(x))] \vee \operatorname{Loves}(G(x), x)$
5. Drop universal quantifiers:
[Animal(F(x)) $\wedge \neg \operatorname{Loves}(x, F(x))] \vee \operatorname{Loves}(G(x), x)$
6. Distribute $\vee$ over $\wedge$ :
$[\operatorname{Animal}(F(x)) \vee \operatorname{Loves}(G(x), x)] \wedge[\neg \operatorname{Loves}(x, F(x)) \vee \operatorname{Loves}(G(x), x)]$

## Unification

- Recall: $\operatorname{Subst}(\theta, p)=$ result of substituting $\theta$ into sentence $p$
- Unify algorithm: takes 2 sentences $p$ and $q$ and returns a unifier if one exists

$$
\operatorname{Unify}(p, q)=\theta \quad \text { where } \operatorname{Subst}(\theta, p)=\operatorname{Subst}(\theta, q)
$$

- Example:

$$
\begin{aligned}
& p=\text { Knows(J ohn, } x) \\
& q=\text { Knows(John, J ane) }
\end{aligned}
$$

$\operatorname{Unify}(p, q)=\{x / J$ ane $\}$

## Unification examples

- $\quad$ simple example: query $=$ Knows(John,x), i.e., who does John know?

| p | q | $\theta$ |
| :---: | :---: | :---: |
| Knows(John, x) | Knows(John, Jane) | \{x/J ane\} |
| Knows(J ohn, x) | Knows(y, OJ) | \{ $\mathrm{x} / \mathrm{OJ}, \mathrm{y} / \mathrm{J}$ ohn\} |
| Knows(John,x) | Knows(y, Mother(y)) | \{y/John, $\mathrm{x} / \mathrm{Mother}(\mathrm{John}$ ) $\}$ |
| Knows(John,x) | Knows(x, OJ) | \{fail\} |

- Last unification fails: only because x can't take values John and OJ at the same time
- But we know that if John knows x , and everyone (x) knows OJ, we should be able to infer that John knows OJ
- Problem is due to use of same variable $x$ in both sentences
- Simple solution: Standardizing apart eliminates overlap of variables, e.g., Knows(z,OJ)


## Unification

- To unify Knows(John, x) and Knows(y,z),

$$
\theta=\{y / J \text { ohn, } x / z\} \text { or } \theta=\{y / J \text { ohn, } x / J \text { ohn, } z / J \text { ohn }\}
$$

- The first unifier is more general than the second.
- There is a single most general unifier (MGU) that is unique up to renaming of variables.

$$
M G U=\{y / J o h n, x / z\}
$$

- General algorithm in Figure 9.1 in the text


## Unification Algorithm

function $\operatorname{UNIFY}(x, y, \theta)$ returns a substitution to make $x$ and $y$ identical inputs: $x$, a variable, constant, list, or compound expression
$y$, a variable, constant, list, or compound expression
$\theta$, the substitution built up so far (optional, defaults to empty)
if $\theta=$ failure then return failure
else if $x=y$ then return $\theta$
else if $\operatorname{VARIABLE}$ ? $(x)$ then return $\operatorname{UNifY}-\operatorname{VaR}(x, y, \theta)$
else if $\operatorname{VARIABLE}$ ? $(y)$ then return $\operatorname{Unify} \operatorname{VaR}(y, x, \theta)$
else if COMPOUND? $(x)$ and COMPOUND? $(y)$ then
return $\operatorname{UNIFY}(x$.ARGS, $y$.ARGS, $\operatorname{UNIFY}(x . \mathrm{Op}, y . \mathrm{Op}, \theta)$ )
else if LIST? $(x)$ and LIST? $(y)$ then
return UNIFY( $x$.REST, $y$.REST, UNIFY ( $x$.FIRST, $y$.FIRST, $\theta$ ) )
else return failure
function UNIFY-VAR $(v a r, x, \theta)$ returns a substitution
if $\{$ var $/ \mathrm{val}\} \in \theta$ then return $\operatorname{UNIFY}($ val $, x, \theta)$
else if $\{x /$ val $\} \in \theta$ then return UNIFY (var, val, $\theta$ )
else if OCCUR-CHECK? (var, $x$ ) then return failure
else return add $\{v a r / x\}$ to $\theta$

Figure 9.1 The unification algorithm. The algorithm works by comparing the structures of the inputs, element by element. The substitution $\theta$ that is the argument to UNIFY is built up along the way and is used to make sure that later comparisons are consistent with bindings that were established earlier. In a compound expression such as $F(A, B)$, the Op field picks out the function symbol $F$ and the ARGS field picks out the argument list $(A, B)$.

## Knowledge engineering in FOL

1. Identify the task
2. Assemble the relevant knowledge
3. Decide on a vocabulary of predicates, functions, and constants
4. Encode general knowledge about the domain
5. Encode a description of the specific problem instance
6. Pose queries to the inference procedure and get answers
7. Debug the knowledge base

## The electronic circuits domain

1. Identify the task

- Does the circuit actually add properly?

2. Assemble the relevant knowledge

- Composed of wires and gates; Types of gates (AND, OR, XOR, NOT)
- Irrelevant: size, shape, color, cost of gates
- 

3. Decide on a vocabulary

- Alternatives:

Type $\left(\mathrm{X}_{1}\right)=\mathrm{XOR}$ (function)
Type( $\mathrm{X}_{1}$, XOR) (binary predicate)
$\operatorname{XOR}\left(\mathrm{X}_{1}\right)$
(unary predicate)

## The electronic circuits domain

4. Encode general knowledge of the domain

- $\quad \forall \mathrm{t}_{1}, \mathrm{t}_{2} \operatorname{Connected}\left(\mathrm{t}_{1}, \mathrm{t}_{2}\right) \Rightarrow \operatorname{Signal}\left(\mathrm{t}_{1}\right)=\operatorname{Signal}\left(\mathrm{t}_{2}\right)$
$-\quad \forall \mathrm{t} \operatorname{Signal}(\mathrm{t})=1 \vee \operatorname{Signal}(\mathrm{t})=0$
- $\quad 1 \neq 0$
- $\quad \forall \mathrm{t}_{1}, \mathrm{t}_{2}$ Connected $\left(\mathrm{t}_{1}, \mathrm{t}_{2}\right) \Rightarrow$ Connected $\left(\mathrm{t}_{2}, \mathrm{t}_{1}\right)$
- $\quad \forall \mathrm{g} \operatorname{Type}(\mathrm{g})=\mathrm{OR} \Rightarrow \operatorname{Signal}(\operatorname{Out}(1, \mathrm{~g}))=1 \Leftrightarrow \exists \mathrm{Signal}(\operatorname{In}(\mathrm{n}, \mathrm{g}))=1$
$-\quad \forall \mathrm{g} \operatorname{Type}(\mathrm{g})=\mathrm{AND} \Rightarrow \operatorname{Signal}(\operatorname{Out}(1, \mathrm{~g}))=0 \Leftrightarrow \exists \mathrm{n} \operatorname{Signal}(\operatorname{In}(\mathrm{n}, \mathrm{g}))=0$
$-\quad \forall \mathrm{g}$ Type $(\mathrm{g})=\mathrm{XOR} \Rightarrow \operatorname{Signal}(\operatorname{Out}(1, \mathrm{~g}))=1 \Leftrightarrow \operatorname{Signal}(\operatorname{In}(1, \mathrm{~g})) \neq$ Signal(In(2,g))
- $\quad \forall \mathrm{g}$ Type $(\mathrm{g})=$ NOT $\Rightarrow \operatorname{Signal}(\operatorname{Out}(1, \mathrm{~g})) \neq \operatorname{Signal}(\operatorname{In}(1, \mathrm{~g}))$


## The electronic circuits domain

5. Encode the specific problem instance

| Type $\left(\mathrm{X}_{1}\right)=$ XOR $\quad$ Typ | Type $\left(\mathrm{X}_{2}\right)=\mathrm{XOR}$ |
| :---: | :---: |
| $\operatorname{Type}\left(\mathrm{A}_{1}\right)=$ AND $\quad$ Typ | $\operatorname{Type}\left(\mathrm{A}_{2}\right)=$ AND |
| $\operatorname{Type}\left(\mathrm{O}_{1}\right)=\mathrm{OR}$ |  |
| Connected(Out( $1, \mathrm{X}_{1}$ ) , In ( $\left.1, \mathrm{X}_{2}\right)$ ) | Connected( $\operatorname{In}\left(1, \mathrm{C}_{1}\right), \ln \left(1, \mathrm{X}_{1}\right)$ ) |
| Connected(Out ( $1, \mathrm{X}_{1}$ ) , In ( $2, \mathrm{~A}_{2}$ ) ) | Connected(In(1, $\left.\left.\mathrm{C}_{1}\right), \ln \left(1, \mathrm{~A}_{1}\right)\right)$ |
| Connected(Out(1, $\mathrm{A}_{2}$ ) , In( $1, \mathrm{O}_{1}$ ) ) | Connected( $\left.\ln \left(2, \mathrm{C}_{1}\right), \ln \left(2, \mathrm{X}_{1}\right)\right)$ |
| Connected(Out(1, $\mathrm{A}_{1}$ ) , In( $\left.2, \mathrm{O}_{1}\right)$ ) | Connected(In(2, $\left.\mathrm{C}_{1}\right), \ln \left(2, \mathrm{~A}_{1}\right)$ ) |
| Connected(Out(1, $\mathrm{X}_{2}$ ), Out ( $1, \mathrm{C}_{1}$ ) ) | ) Connected( $\left.\ln \left(3, \mathrm{C}_{1}\right), \ln \left(2, \mathrm{X}_{2}\right)\right)$ |
| Connected(Out(1, $\mathrm{O}_{1}$ ), Out ( $2, \mathrm{C}_{1}$ ) | ) Connected(In(3, $\left.\mathrm{C}_{1}\right), \ln \left(1, \mathrm{~A}_{2}\right)$ ) |

## The electronic circuits domain

6. Pose queries to the inference procedure What are the possible sets of values of all the terminals for the adder circuit?

$$
\begin{aligned}
& \exists i_{1}, i_{2}, i_{3}, o_{1}, o_{2} \operatorname{Signal}\left(\operatorname{In}\left(1, C_{1}\right)\right)=i_{1} \wedge \operatorname{Signal}\left(\operatorname{In}\left(2, C_{1}\right)\right)=i_{2} \wedge \operatorname{Signal}\left(\operatorname{In}\left(3, C_{1}\right)\right)= \\
& i_{3} \wedge \operatorname{Signal}\left(\operatorname{Out}\left(1, C_{1}\right)\right)=o_{1} \wedge \operatorname{Signal}\left(\operatorname{Out}\left(2, C_{1}\right)\right)=o_{2}
\end{aligned}
$$

7. Debug the knowledge base May have omitted assertions like $1 \neq 0$

## CS-271P Final Review

- Propositional Logic
- (7.1-7.5)
- First-Order Logic, Knowledge Representation
- (8.1-8.5, 9.1-9.2)
- Constraint Satisfaction Problems
- (6.1-6.4, except 6.3)
- Machine Learning
- (18.1-18.4)
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## Review Constraint Satisfaction Chapter 6.1-6.4, except 6.3.3

- What is a CSP
- Backtracking for CSP
- Local search for CSPs


## Constraint Satisfaction Problems

- What is a CSP?
- Finite set of variables $X_{1}, X_{2}, \ldots, X_{n}$
- Nonempty domain of possible values for each variable
$D_{1}, D_{2}, \ldots, D_{n}$
- Finite set of constraints $C_{1}, C_{2}, \ldots, C_{m}$
- Each constraint $C_{i}$ limits the values that variables can take,
- e.g., $X_{1} \neq X_{2}$
- Each constraint $C_{i}$ is a pair <scope, relation>
- Scope = Tuple of variables that participate in the constraint.
- Relation = List of allowed combinations of variable values.

May be an explicit list of allowed combinations.
May be an abstract relation allowing membership testing and listing.

- CSP benefits
- Standard representation pattern
- Generic goal and successor functions
- Generic heuristics (no domain specific expertise).


## CSPs --- what is a solution?

- A state is an assignment of values to some or all variables.
- An assignment is complete when every variable has a value.
- An assignment is partial when some variables have no values.
- Consistent assignment
- assignment does not violate the constraints
- A solution to a CSP is a complete and consistent assignment.
- Some CSPs require a solution that maximizes an objective function.


## CSP example: map coloring



- Variables: WA, NT, Q, NSW, V, SA, T
- Domains: $D_{i}=\{r e d$, green,blue $\}$
- Constraints:adjacent regions must have different colors.
- E.g. $W A \neq N T$


## CSP example: map coloring



Tasmania

- Solutions are assignments satisfying all constraints, e.g.
\{WA=red, $N T=$ green, $Q=$ red, $N S W=$ green $, V=r e d, S A=b l u e, T=$ green $\}$


## Constraint graphs

- Constraint graph:
- nodes are variables
- arcs are binary constraints

- Graph can be used to simplify search
e.g. Tasmania is an independent subproblem
(will return to graph structure later)


## Backtracking example



## Minimum remaining values (MRV)


var $\leftarrow$ SELECT-UNASSIGNED-VARIABLE(VARIABLES[csp],assignment,csp)

- A.k.a. most constrained variable heuristic
- Heuristic Rule: choose variable with the fewest legal moves
- e.g., will immediately detect failure if X has no legal values


## Degree heuristic for the initial variable



- Heuristic Rule: select variable that is involved in the largest number of constraints on other unassigned variables.
- Degree heuristic can be useful as a tie breaker.
- In what order should a variable's values be tried?


## Least constraining value for value-ordering



Allows 1 value for SA

Allows 0 values for SA

- Least constraining value heuristic
- Heuristic Rule: given a variable choose the least constraining value
- leaves the maximum flexibility for subsequent variable assignments


## Forward checking



- Can we detect inevitable failure early?
- And avoid it later?
- Forward checking idea: keep track of remaining legal values for unassigned variables.
- When a variable is assigned a value, update all neighbors in the constraint graph.
- Forward checking stops after one step and does not go beyond immediate neighbors.
- Terminate search when any variable has no legal values.


## Forward checking



- Assign $\{W A=r e d\}$
- Effects on other variables connected by constraints to WA
- NT can no longer be red
- SA can no longer be red


## Forward checking


(T)

- Assign $\{Q=$ green $\}$
- Effects on other variables connected by constraints with WA
- NT can no longer be green
- NSW can no longer be green
- SA can no longer be green
- MRV heuristic would automatically select NT or SA next


## Arc consistency



- An $\operatorname{Arc} X \rightarrow Y$ is consistent if
for every value $x$ of $X$ there is some value $y$ consistent with $x$
(note that this is a directed property)
- Put all $\operatorname{arcs} X \rightarrow Y$ onto a queue ( $X \rightarrow Y$ and $Y \rightarrow X$ both go on, separately)
- Pop one $\operatorname{arc} X \rightarrow Y$ and remove any inconsistent values from $X$
- If any change in $X$, then put all $\operatorname{arcs} Z \rightarrow X$ back on queue, where $Z$ is a neighbor of $X$
- Continue until queue is empty


## Arc consistency


for every value $x$ of $X$ there is some value $y$ consistent with $x$

- NSW $\rightarrow$ SA is consistent if

NSW=red and SA=blue
$N S W=b l u e$ and $S A=$ ???

## Arc consistency



- Can enforce arc-consistency:

Arc can be made consistent by removing blue from NSW

- Continue to propagate constraints....
- Check $V \rightarrow$ NSW
- Not consistent for $V=$ red
- Remove red from $V$


## Arc consistency



- Continue to propagate constraints....
- $S A \rightarrow N T$ is not consistent
- and cannot be made consistent
- Arc consistency detects failure earlier than FC


## Local search for CSPs

- Use complete-state representation
- Initial state = all variables assigned values
- Successor states = change 1 (or more) values
- For CSPs
- allow states with unsatisfied constraints (unlike backtracking)
- operators reassign variable values
- hill-climbing with $n$-queens is an example
- Variable selection: randomly select any conflicted variable
- Value selection: min-conflicts heuristic
- Select new value that results in a minimum number of conflicts with the other variables


## Min-conflicts example 1



Use of min-conflicts heuristic in hill-climbing.

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## The importance of a good representation

- Properties of a good representation:
- Reveals important features
- Hides irrelevant detail
- Exposes useful constraints
- Makes frequent operations easy-to-do
- Supports local inferences from local features
- Called the "soda straw" principle or "locality" principle
- Inference from features "through a soda straw"
- Rapidly or efficiently computable
- It's nice to be fast


## Reveals important features / Hides irrelevant detail

- "You can't learn what you can't represent." --- G. Sussman
- In search: A man is traveling to market with a fox, a goose, and a bag of oats. He comes to a river. The only way across the river is a boat that can hold the man and exactly one of the fox, goose or bag of oats. The fox will eat the goose if left alone with it, and the goose will eat the oats if left alone with it.
- A good representation makes this problem easy:



## Terminology

- Attributes
- Also known as features, variables, independent variables, covariates
- Target Variable
- Also known as goal predicate, dependent variable, ...
- Classification
- Also known as discrimination, supervised classification, ...
- Error function
- Objective function, loss function, ...


## I nductive learning

- Let $x$ represent the input vector of attributes
- Let $f(x)$ represent the value of the target variable for $x$
- The implicit mapping from $x$ to $f(x)$ is unknown to us
- We just have training data pairs, $D=\{x, f(x)\}$ available
- We want to learn a mapping from $x$ to $f$, i.e.,
$h(x ; \theta)$ is "close" to $f(x)$ for all training data points $x$
$\theta$ are the parameters of our predictor $\mathrm{h}(.$.
- Examples:
$-h(x ; \theta)=\operatorname{sign}\left(w_{1} x_{1}+w_{2} x_{2}+w_{3}\right)$
- $h_{k}(x)=(x 1$ OR $x 2)$ AND ( $x 3$ OR NOT( $\left.x 4\right)$ )


## Empirical Error Functions

- Empirical error function:

$$
E(h)=\Sigma_{\mathrm{X}} \operatorname{distance}[\mathrm{~h}(\mathrm{x} ; \theta), \mathrm{f}]
$$

e.g., distance $=$ squared error if $h$ and $f$ are real-valued (regression) distance $=$ delta-function if $h$ and $f$ are categorical (classification)

Sum is over all training pairs in the training data D

In learning, we get to choose

1. what class of functions $\mathrm{h}(.$.$) that we want to learn$

- potentially a huge space! ("hypothesis space")

2. what error function/distance to use

- should be chosen to reflect real "loss" in problem
- but often chosen for mathematical/algorithmic convenience


## Decision Tree Representations

- Decision trees are fully expressive
- can represent any Boolean function
- Every path in the tree could represent 1 row in the truth table
- Yields an exponentially large tree
- Truth table is of size $2^{\text {d }}$, where d is the number of attributes



## Pseudocode for Decision tree learning

function DTL(examples, attributes, default) returns a decision tree
if examples is empty then return default
else if all examples have the same classification then return the classification
else if attributes is empty then return $\operatorname{MODE}$ (examples)
else
best $\leftarrow$ Choose-Attribute $($ attributes, examples)
tree $\leftarrow$ a new decision tree with root test best
for each value $v_{i}$ of best do
examples $_{i} \leftarrow$ \{elements of examples with best $\left.=v_{i}\right\}$
subtree $\leftarrow \mathrm{DTL}\left(\right.$ examples $_{i}$, attributes - best, $\operatorname{MODE}($ examples $\left.)\right)$
add a branch to tree with label $v_{i}$ and subtree subtree
return tree

## Entropy with only 2 outcomes

Consider 2 class problem: $\mathrm{p}=$ probability of class $1,1-\mathrm{p}=$ probability of class 2

In binary case, $H(p)=-p \log p-(1-p) \log (1-p)$


## I nformation Gain

- $H(p)=$ entropy of class distribution at a particular node
- $\mathrm{H}(\mathrm{p} \mid \mathrm{A})=$ conditional entropy $=$ average entropy of conditional class distribution, after we have partitioned the data according to the values in A
- $\operatorname{Gain}(A)=H(p)-H(p \mid A)$
- Simple rule in decision tree learning
- At each internal node, split on the node with the largest information gain (or equivalently, with smallest $H(p \mid A)$ )
- Note that by definition, conditional entropy can't be greater than the entropy


## Overfitting and Underfitting



## A Complex Model



## A Much Simpler Model



## How Overfitting affects Prediction



## Training and Validation Data



## The k-fold Cross-Validation Method

- Why just choose one particular 90/10 "split" of the data?
- In principle we could do this multiple times
- "k-fold Cross-Validation" (e.g., k=10)
- randomly partition our full data set into $k$ disjoint subsets (each roughly of size $n / k, n=$ total number of training data points)
-for $\mathrm{i}=1$ : 10 (here $\mathrm{k}=10$ )
-train on $90 \%$ of data, - Acc(i) $=$ accuracy on other 10\%
-end
- Cross-Validation-Accuracy $=1 / k \quad \sum_{\mathrm{i}} \operatorname{Acc}(\mathrm{i})$
- choose the method with the highest cross-validation accuracy
- common values for $k$ are 5 and 10
- Can also do "leave-one-out" where $\mathrm{k}=\mathrm{n}$


## Disjoint Validation Data Sets



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